



General Assembly

January Session, 2001

Raised Bill No. 6790

LCO No. 3985

Referred to Committee on Government Administration and
Elections

Introduced by:
(GAE)

***AN ACT CONCERNING CAMPAIGN SPENDING LIMITS AND
VOLUNTARY CAMPAIGN FINANCING.***

Be it enacted by the Senate and House of Representatives in General
Assembly convened:

1 Section 1. (NEW) As used in sections 1 to 4, inclusive, 6 to 25,
2 inclusive, and 39 and 40 of this act:

3 (1) "Commission" means the State Elections Enforcement
4 Commission.

5 (2) "Convention" means "convention", as defined in section 9-372 of
6 the general statutes.

7 (3) "Depository account" means the single checking account at the
8 depository institution designated as the depository for the candidate
9 committee's moneys in accordance with the provisions of subsection
10 (a) of section 9-333f of the general statutes.

11 (4) "Elector" means any person possessing the qualifications
12 prescribed by the constitution and duly admitted to, and entitled to
13 exercise, the privileges of an elector in a town.

14 (5) "Fund" means the Citizens' Election Fund established in section 2
15 of this act.

16 (6) "Lobbyist" has the same meaning as "lobbyist", as defined in
17 section 1-91 of the general statutes.

18 (7) "Major party" means "major party", as defined in section 9-372 of
19 the general statutes.

20 (8) "Minor party" means "minor party", as defined in section 9-372 of
21 the general statutes.

22 (9) "Permitted expenditure amount" means the aggregate of (A) the
23 amount of qualifying contributions permitted in section 11 of this act,
24 (B) the applicable amount of contributions that a candidate committee
25 receives from party committees in accordance with the provisions of
26 section 9-333s of the general statutes, as amended by this act, and (C)
27 the amount of grants that a candidate committee receives from the
28 Citizens' Election Fund.

29 (10) "Qualified candidate committee" means a candidate committee
30 (A) established to aid or promote the success of any candidate for
31 nomination or election on or after January 1, 2006, to a state office, and
32 (B) which is approved by the commission to receive a grant from the
33 Citizens' Election Fund under section 14 of this act.

34 (11) "State office" means the office of Governor, Lieutenant
35 Governor, Attorney General, State Comptroller, State Treasurer or
36 Secretary of the State.

37 (12) "State office election" means the election for state offices held on
38 the first Tuesday after the first Monday in November in every fourth
39 year in accordance with the provisions of the Constitution of
40 Connecticut.

41 (13) "Associated business" has the same meaning as "business with
42 which he is associated", as defined in section 9-333a, of the general

43 statutes, as amended.

44 Sec. 2. (NEW) There is established, within the General Fund, a
45 separate, nonlapsing account to be known as the "Citizens' Election
46 Fund". The fund may contain any moneys required by law to be
47 deposited in the fund. Investment earnings credited to the assets of the
48 fund shall become part of the assets of the fund. All moneys deposited
49 in the fund shall be used for the purposes of sections 1 to 4, inclusive, 6
50 to 25, inclusive, and 39 and 40 of this act. The State Elections
51 Enforcement Commission may deduct and retain from the moneys in
52 the fund an amount equal to the costs incurred by the commission in
53 administering the provisions of said sections 1 to 4, inclusive, 6 to 25,
54 inclusive, and 39 and 40, provided said amount shall not exceed three
55 per cent of the moneys deposited in the fund in any fiscal year. Any
56 portion of said three per cent allocation which exceeds said costs
57 incurred by the commission in any fiscal year shall continue to be
58 available for any said costs incurred by the commission in subsequent
59 fiscal years.

60 Sec. 3. (NEW) (a) (1) Any taxpayer filing a return under chapter 229
61 of the general statutes for any taxable year commencing on or after
62 January 1, 2001, may contribute all or part of a refund under said
63 chapter 229 to the Citizens' Election Fund established in section 2 of
64 this act, by indicating on the tax return the amount to be contributed to
65 the fund. Subject to the limit set forth in subdivision (4) of this
66 subsection, the maximum amount of any such contribution shall be
67 five thousand dollars per taxable year, except that, in the case of a
68 husband and wife filing a joint tax return, the maximum amount of
69 any such contribution shall be ten thousand dollars per taxable year.

70 (2) Any taxpayer filing a return under chapter 229 of the general
71 statutes for any taxable year commencing on or after January 1, 2001,
72 whose income tax liability for the taxable year, before applying any
73 credit under section 12-704c of the general statutes, is five dollars or
74 more, may designate that five dollars of such tax liability shall be paid

75 over to the fund by so indicating on the tax return. In the case of a
76 husband and wife filing a joint return with an income tax liability of
77 ten dollars or more, each spouse may designate that five dollars of
78 such tax liability shall be paid over to the fund by so indicating on the
79 tax return. Any designation made pursuant to this subdivision shall
80 not increase the taxpayer's income tax liability.

81 (3) Any taxpayer filing a return under chapter 229 of the general
82 statutes for any taxable year commencing on or after January 1, 2001,
83 may contribute an additional amount to the Citizens' Election Fund
84 established in section 2 of this act, by indicating on the tax return the
85 amount to be contributed to the fund. Subject to the limit set forth in
86 subdivision (4) of this subsection, the maximum amount of any such
87 contribution shall be five thousand dollars per taxable year, except
88 that, in the case of a husband and wife filing a joint tax return, the
89 maximum amount of any such contribution shall be ten thousand
90 dollars per taxable year. Any contribution made pursuant to this
91 subdivision shall be in addition to the amount of tax reported to be
92 due on such return and shall be paid at the same time as the tax due on
93 such return is paid and in the manner prescribed by the Commissioner
94 of Revenue Services.

95 (4) The total combined contributions that a taxpayer may make
96 under subdivisions (1) and (3) of this subsection shall be five thousand
97 dollars per taxable year, except that, in the case of a husband and wife
98 filing a joint tax return, the total combined contributions that such
99 husband and wife may make under subdivisions (1) and (3) of this
100 subsection shall be ten thousand dollars per taxable year.

101 (b) A contribution or designation made pursuant to this section shall
102 be irrevocable upon the filing of the return. A taxpayer making a
103 contribution or designation pursuant to this subsection shall so
104 indicate on the tax return in a manner provided for by the
105 Commissioner of Revenue Services pursuant to subsection (c) of this
106 section.

107 (c) The Commissioner of Revenue Services shall revise the income
108 tax return form to implement the provisions of subsection (a) of this
109 section. Such form shall include (1) a space on the return in which
110 taxpayers may indicate their intention to make a contribution or
111 designation in accordance with this section, and (2) instructions for
112 payment of any contribution under subdivision (3) of subsection (a) of
113 this section. The commissioner shall include in the instructions
114 accompanying the tax return a description of the purposes for which
115 the Citizens' Election Fund was established.

116 (d) A contribution of all or part of a refund shall be made in the full
117 amount indicated if the refund found due the taxpayer upon the initial
118 processing of the return, and after any deductions required by chapter
119 229 of the general statutes, is greater than or equal to the indicated
120 contribution. If the refund due, as determined upon initial processing,
121 and after any deductions required by said chapter 229, is less than the
122 indicated contribution, the contribution shall be made in the full
123 amount of the refund. The Commissioner of Revenue Services shall
124 subtract the amount of any contribution of all or part of a refund from
125 the amount of the refund initially found due the taxpayer and shall
126 certify (1) the amount of the refund initially found due the taxpayer,
127 (2) the amount of any such contribution, and (3) the amount of the
128 difference to the Secretary of the Office of Policy and Management and
129 the State Treasurer for payment to the taxpayer in accordance with
130 said chapter 229. For the purposes of any subsequent determination of
131 the taxpayer's net tax payment, such contribution shall be considered a
132 part of the refund paid to the taxpayer.

133 (e) The Commissioner of Revenue Services, after notification of and
134 approval by the Secretary of the Office of Policy and Management,
135 may deduct and retain from the moneys collected under subsections
136 (a) to (d), inclusive, of this section an amount equal to the costs of
137 administering this section, but not to exceed four per cent of such
138 moneys collected in any fiscal year. The Commissioner of Revenue
139 Services shall deposit the remaining moneys collected in the Citizens'

140 Election Fund.

141 (f) An amount equal to the amount contributed by a taxpayer under
142 subdivisions (1) and (3) of subsection (a) of this section with respect to
143 the preceding taxable year of the taxpayer shall be subtracted from the
144 adjusted gross income of the taxpayer for the purposes of determining
145 the Connecticut adjusted gross income of the taxpayer in section 12-
146 701 of the general statutes.

147 Sec. 4. (NEW) (a) (1) Any taxpayer filing a return under chapter 208
148 of the general statutes for any taxable year commencing on or after
149 January 1, 2001, may contribute all or part of a refund under said
150 chapter 208 to the Citizens' Election Fund established in section 2 of
151 this act, by indicating on the tax return the amount to be contributed to
152 the fund. Subject to the limit set forth in subdivision (4) of this
153 subsection, the maximum amount of any such contribution shall be ten
154 thousand dollars per taxable year.

155 (2) Any taxpayer filing a return under chapter 208 of the general
156 statutes for any taxable year commencing on or after January 1, 2001,
157 whose income tax liability for the taxable year, before applying any
158 credits under chapter 208 of the general statutes, is five dollars or
159 more, may designate that two hundred dollars of such tax liability or,
160 if such tax liability is less than two hundred dollars, the full amount of
161 such tax liability, shall be paid over to the Citizens' Election Fund
162 established in section 2 of this act, by so indicating on the tax return.
163 Any designation made pursuant to this subdivision shall not increase
164 the taxpayer's income tax liability.

165 (3) Any taxpayer filing a return under chapter 208 of the general
166 statutes for any taxable year commencing on or after January 1, 2001,
167 may contribute an additional amount to the Citizens' Election Fund
168 established in section 2 of this act, by indicating on the tax return the
169 amount to be contributed to the fund. Subject to the limit set forth in
170 subdivision (4) of this subsection, the maximum amount of any such
171 contribution shall be ten thousand dollars per taxable year. Any

172 contribution made pursuant to this subdivision shall be in addition to
173 the amount of tax reported to be due on such return and shall be paid
174 at the same time as the tax due on such return is paid and in the
175 manner prescribed by the Commissioner of Revenue Services.

176 (4) The total combined contributions that a taxpayer may make
177 under subdivisions (1) and (3) of this subsection shall be ten thousand
178 dollars per taxable year.

179 (b) A contribution or designation made pursuant to this section shall
180 be irrevocable upon the filing of the return. A taxpayer making a
181 contribution or designation pursuant to this subsection shall so
182 indicate on the tax return in a manner provided for by the
183 Commissioner of Revenue Services pursuant to subsection (c) of this
184 section.

185 (c) The Commissioner of Revenue Services shall revise the income
186 tax return form to implement the provisions of subsection (a) of this
187 section. Such form shall include (1) a space on the return in which
188 taxpayers may indicate their intention to make a contribution or
189 designation in accordance with this section, and (2) instructions for
190 payment of any contribution under subdivision (3) of subsection (a) of
191 this section. The commissioner shall include in the instructions
192 accompanying the tax return a description of the purposes for which
193 the Citizens' Election Fund was established.

194 (d) A contribution of all or part of a refund shall be made in the full
195 amount indicated if the refund found due the taxpayer upon the initial
196 processing of the return, and after any deductions required by chapter
197 208 of the general statutes, is greater than or equal to the indicated
198 contribution. If the refund due, as determined upon initial processing
199 and after any deductions required by said chapter 208, is less than the
200 indicated contribution, the contribution shall be made in the full
201 amount of the refund. The Commissioner of Revenue Services shall
202 subtract the amount of any contribution of all or part of a refund from
203 the amount of the refund initially found due the taxpayer and shall

204 certify (1) the amount of the refund initially due the taxpayer, (2) the
205 amount of any such contribution, and (3) the amount of the difference
206 to the Secretary of the Office of Policy and Management and the State
207 Treasurer for payment to the taxpayer in accordance with said chapter
208 208. For the purposes of any subsequent determination of the
209 taxpayer's net tax payment, such contribution shall be considered a
210 part of the refund paid to the taxpayer.

211 (e) The Commissioner of Revenue Services, after notification of and
212 approval by the Secretary of the Office of Policy and Management,
213 may deduct and retain from the moneys collected under subsections
214 (a) to (d), inclusive, of this section an amount equal to the costs of
215 administering this section, but not to exceed four per cent of such
216 moneys collected in any fiscal year. The Commissioner of Revenue
217 Services shall deposit the remaining moneys collected in the Citizens'
218 Election Fund.

219 (f) An amount equal to the amount contributed by a taxpayer under
220 subdivisions (1) and (3) of subsection (a) of this section with respect to
221 the preceding taxable year of the taxpayer shall be deducted from the
222 gross income of the taxpayer in arriving at net income as defined in
223 section 12-213 of the general statutes.

224 Sec. 5. Subsection (e) of section 9-333j of the general statutes is
225 repealed and the following is substituted in lieu thereof:

226 (e) (1) Notwithstanding any provisions of this chapter to the
227 contrary, in the event of a surplus the campaign treasurer of a
228 candidate committee or of a political committee, other than a political
229 committee formed for ongoing political activities or an exploratory
230 committee shall distribute or expend such surplus [within] not later
231 than ninety days after a primary which results in the defeat of the
232 candidate, an election or referendum, in the following manner:

233 (A) Such committees may distribute their surplus to a party
234 committee, or a political committee organized for ongoing political

235 activities, return such surplus to all contributors to the committee on a
236 prorated basis of contribution, distribute all or any part of such surplus
237 to the Citizens' Election Fund established in section 2 of this act or
238 distribute such surplus to any charitable organization which is a tax-
239 exempt organization under Section 501(c)(3) of the Internal Revenue
240 Code of 1986, or any subsequent corresponding internal revenue code
241 of the United States, as from time to time amended, provided (i) no
242 candidate committee may distribute such surplus to a committee
243 which has been established to finance future political campaigns of the
244 candidate, (ii) a candidate committee which received moneys from the
245 Citizens' Election Fund shall distribute such surplus to such fund, and
246 (iii) a candidate committee formed to aid or promote the success of a
247 candidate for nomination or election to the office of Lieutenant
248 Governor, the candidate of which campaigns jointly with a candidate
249 for nomination or election to the office of Governor shall distribute
250 such surplus in accordance with the provisions of section 17 of this act;

251 (B) Each such political committee established by an organization
252 which received its funds from the organization's treasury shall return
253 its surplus to its sponsoring organization;

254 (C) (i) Each political committee formed solely to aid or promote the
255 success or defeat of any referendum question, which does not receive
256 contributions from a business entity or an organization, shall distribute
257 its surplus to a party committee, to a political committee organized for
258 ongoing political activities, to a national committee of a political party,
259 to all contributors to the committee on a prorated basis of contribution,
260 to state or municipal governments or agencies or to any organization
261 which is a tax-exempt organization under Section 501(c)(3) of the
262 Internal Revenue Code of 1986, or any subsequent corresponding
263 internal revenue code of the United States, as from time to time
264 amended. [(ii) each] (ii) Each political committee formed solely to aid
265 or promote the success or defeat of any referendum question, which
266 receives contributions from a business entity or an organization, shall
267 distribute its surplus to all contributors to the committee on a prorated

268 basis of contribution, to state or municipal governments or agencies, or
269 to any organization which is tax-exempt under said provisions of the
270 Internal Revenue Code;

271 (D) The campaign treasurer of the candidate committee of a
272 candidate who is elected to office may, upon the authorization of such
273 candidate, expend surplus campaign funds to pay for the cost of
274 clerical, secretarial or other office expenses necessarily incurred by
275 such candidate in preparation for taking office; except such surplus
276 shall not be distributed for the personal benefit of any individual or to
277 any organization; and

278 (E) The campaign treasurer of a candidate committee, or of a
279 political committee, other than a political committee formed for
280 ongoing political activities or an exploratory committee, shall, prior to
281 the dissolution of such committee, either (i) distribute any equipment
282 purchased, including but not limited to computer equipment, to any
283 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell
284 any equipment purchased, including but not limited to computer
285 equipment, to any person for fair market value and then distribute the
286 proceeds of such sale to any recipient as set forth in said subparagraph
287 (A).

288 (2) Notwithstanding any provisions of this chapter, [to the
289 contrary,] the campaign treasurer of the candidate committee of a
290 candidate who has withdrawn from a primary or election may, prior to
291 the primary or election, distribute its surplus to any organization
292 which is tax-exempt under Section 501(c)(3) of the Internal Revenue
293 Code of 1986, or any subsequent corresponding internal revenue code
294 of the United States, as from time to time amended, or return such
295 surplus to all contributors to the committee on a prorated basis of
296 contribution.

297 (3) [Within] Not later than seven days after such distribution or
298 [within] not later than seven days after all funds have been expended
299 in accordance with subparagraph (D) of subdivision (1) of this

300 subsection, the campaign treasurer shall file a supplemental statement,
301 sworn under penalty of false statement, with the proper authority,
302 identifying all further contributions received since the previous
303 statement and explaining how any surplus has been distributed or
304 expended in accordance with this section. No surplus may be
305 distributed or expended until after the election, primary or
306 referendum.

307 (4) In the event of a deficit the campaign treasurer shall file a
308 supplemental statement ninety days after the election, primary or
309 referendum with the proper authority and, thereafter, on the seventh
310 day of each month following if on the last day of the previous month
311 there was an increase or decrease in the deficit in excess of five
312 hundred dollars from that reported on the last statement filed. The
313 campaign treasurer shall file such supplemental statements as required
314 until the deficit is eliminated. If any such committee does not have a
315 surplus or a deficit, the statement required to be filed [within] not later
316 than forty-five days following any election or referendum or [within]
317 not later than thirty days following any primary shall be the last
318 required statement.

319 Sec. 6. (NEW) All payments of civil penalties or late fees imposed by
320 the State Elections Enforcement Commission or the Secretary of the
321 State under title 9 of the general statutes, which are received after the
322 effective date of this section, shall be immediately transmitted to the
323 State Treasurer for deposit in the Citizens' Election Fund established in
324 section 2 of this act.

325 Sec. 7. (NEW) Any person, business entity, organization, party
326 committee or political committee, as defined in section 9-333a of the
327 general statutes, may contribute to the Citizens' Election Fund. Any
328 such contribution shall be made by check or money order. The
329 commission shall immediately transmit all contributions received
330 pursuant to this section to the State Treasurer for deposit in the
331 Citizens' Election Fund.

332 Sec. 8. (NEW) (a) As used in this section and section 9 of this act:

333 (1) "Election period" means the period beginning on the date that a
334 candidate files either a committee statement under subsection (a) of
335 section 9-333f of the general statutes or a certification under subsection
336 (b) of said section 9-333f, and ending on the day the campaign
337 treasurer files the final statement for the election campaign pursuant to
338 section 9-333j of the general statutes.

339 (2) "Primary election period" means the period beginning on the
340 first day of the election period and ending on the day that a primary is
341 held for nomination to an office pursuant to section 9-423 of the
342 general statutes.

343 (b) There is established a program of voluntary campaign
344 expenditure limits for major party, minor party and eligible petitioning
345 party candidates for election to the office of state representative or
346 state senator in 2006, and thereafter. Any such candidate who agrees to
347 limit the amount of expenditures made or incurred by the candidate
348 committee for such candidate during the election period and, in the
349 event of a primary, during the primary period, shall be eligible to
350 receive moneys from the Citizens' Election Fund, if a candidate for
351 election to the same office in said year does not agree to said limits and
352 exceeds either the election period limit or, in the event of a primary,
353 the primary period limit.

354 (c) (1) The voluntary election period expenditure limits for the
355 election held in 2006, shall be:

356 (A) For a candidate for election to the office of state representative,
357 forty thousand dollars; and

358 (B) For a candidate for election to the office of state senator, one
359 hundred thousand dollars.

360 (2) The voluntary election period campaign expenditure limits for
361 elections held after 2006, shall be the limits under subdivision (1) of

362 this subsection, adjusted for inflation. On January 15, 2008, and
363 biennially thereafter, the State Elections Enforcement Commission
364 shall adjust said expenditure limits in accordance with any change
365 during the preceding two calendar years in the Consumer Price Index
366 for all urban consumers as published by the United States Department
367 of Labor, Bureau of Labor Statistics.

368 (3) The voluntary primary period expenditure limits for a primary
369 held in 2006, or thereafter, shall be fifty per cent of the applicable
370 election period expenditure limit under this subsection. Campaign
371 expenditures during a primary election period shall also be counted as
372 election period expenditures for purposes of the election period
373 campaign expenditure limit.

374 Sec. 9. (NEW) (a) Each candidate for election to the office of state
375 representative or state senator in 2006, or thereafter, shall file an
376 affidavit with the State Elections Enforcement Commission at the same
377 time that the candidate files either a committee statement under
378 subsection (a) of section 9-333f of the general statutes or a certification
379 under subsection (b) of said section 9-333f. The affidavit shall include a
380 written certification that the candidate either intends to abide by the
381 applicable expenditure limits under subsection (c) of section 8 of this
382 act or does not intend to abide by said limits. If the candidate does
383 intend to abide by said limits, the affidavit shall also include written
384 certifications that (1) the campaign treasurer of the candidate
385 committee for said candidate shall expend any moneys received from
386 the fund in accordance with the provisions of subsection (g) of section
387 9-333i of the general statutes, and (2) the candidate shall repay to the
388 fund any such moneys which are not expended in accordance with
389 subsection (g) of said section 9-333i. A candidate who so certifies the
390 candidate's intent to abide by said limits shall be referred to in this
391 section as a "participating candidate" and a candidate who so certifies
392 the candidate's intent to not abide by said limits shall be referred to in
393 this section as a "nonparticipating candidate". The commission shall
394 prepare a list of the participating candidates and a list of the

395 nonparticipating candidates and shall make such lists available for
396 public inspection.

397 (b) The campaign treasurer of the candidate committee for each
398 candidate for the office of state representative or state senator shall file
399 campaign finance statements with the office of the Secretary of the
400 State (1) according to the same schedule as required of a campaign
401 treasurer of a candidate committee under section 9-333j of the general
402 statutes until receiving contributions and receipts totaling seventy-five
403 per cent of (A) the election period expenditure limit in subsection (c) of
404 section 8 of this act for the office to which the candidate is seeking
405 election, or (B) the primary period expenditure limit in said subsection
406 (c) if a primary is being held for nomination to said office, and (2) then,
407 notwithstanding said schedule in said section 9-333j, on the second
408 Thursday of each month between the beginning of the fourth month
409 preceding the day of the election for said office and the beginning of
410 the sixth week preceding the election and then on each Thursday until
411 the day of the election. Said statements shall be prepared in the same
412 manner as statements required under section 9-333j of the general
413 statutes.

414 (c) (1) The commission shall review all statements filed by campaign
415 treasurers under subsection (b) of this section and under section 9-333j
416 of the general statutes.

417 (2) If a primary is being held for nomination to an office and the
418 commission determines that (A) the candidate committee for a
419 nonparticipating candidate has made or incurred campaign
420 expenditures during the primary period that exceed the applicable
421 primary period expenditure limit under subsection (c) of section 8 of
422 this act, and (B) the candidate committee for one or more participating
423 candidates for the same office has not made or incurred such excess
424 campaign expenditures during the primary period and has received
425 contributions and receipts totaling twenty-five per cent of the
426 applicable primary period expenditure limit in subsection (c) of section

427 8 of this act, the commission shall notify the State Comptroller that the
428 candidate committee for each said participating candidate shall be
429 entitled to payment in an amount equaling the amount of the
430 nonparticipating candidate's excess expenditures. Not later than two
431 business days following notification by the commission, the State
432 Comptroller shall draw an order on the State Treasurer for payment of
433 said amount to each said participating candidate.

434 (3) If no primary is held for nomination to an office, or after a
435 primary is held for nomination to an office, the commission determines
436 that (A) the candidate committee for a nonparticipating candidate has
437 made or incurred campaign expenditures during the election period
438 that exceed the applicable election period expenditure limit under
439 subsection (c) of section 8 of this act, and (B) the candidate committee
440 for one or more participating candidates for the same office has not
441 made or incurred such excess campaign expenditures during the
442 election period and has received contributions and receipts totaling
443 twenty-five per cent of the applicable election period expenditure limit
444 in subsection (c) of section 8 of this act, the commission shall notify the
445 State Comptroller that the candidate committee for each said
446 participating candidate shall be entitled to payment in an amount
447 equaling the amount of the nonparticipating candidate's excess
448 expenditures. Not later than two business days following notification
449 by the commission, the State Comptroller shall draw an order on the
450 State Treasurer for payment of said amount to each said participating
451 candidate.

452 (4) If the commission subsequently determines that a
453 nonparticipating candidate under subdivision (2) or (3) of this
454 subsection has made additional campaign expenditures during the
455 primary period or the election period that exceed said limit and the
456 candidate committee for one or more participating candidates for
457 nomination and election to the same office has not made or incurred
458 any excess campaign expenditures, the commission shall notify the
459 State Comptroller that the candidate committee for each said

460 participating candidate shall be entitled to payment in an amount
461 equaling the amount of the nonparticipating candidate's additional
462 excess expenditures or the primary period or election period,
463 whichever is applicable. Not later than two business days following
464 notification by the commission, the State Comptroller shall draw an
465 order on the State Treasurer for payment of said amount to each said
466 participating candidate.

467 (d) The following shall not be subject to the expenditure limits
468 under this section: In-kind contributions from party committees for
469 coordinated campaign expenditures, including, but not limited to,
470 phone banks and voter lists, which are made available to all party-
471 endorsed candidates whose names appear on a ballot.

472 (e) Upon the receipt of a report under subsection (e) of section 9-
473 333n of the general statutes, as amended by this act, that an
474 independent expenditure has been made or obligated to be made, with
475 the intent to promote the defeat of a participating candidate who has
476 received contributions and receipts totaling twenty-five per cent of the
477 applicable expenditure limit for a primary period or an election period
478 in subsection (c) of section 8 of this act, the commission shall
479 immediately notify the State Comptroller that additional money, equal
480 to the amount of the independent expenditure, shall be paid to the
481 candidate committee for said participating candidate. Not later than
482 two business days following notification by the commission, the State
483 Comptroller shall draw an order on the State Treasurer for payment of
484 such amount to said candidate committee from the fund.

485 Sec. 10. (NEW) There is established a Citizens' Election Program
486 under which the candidate committee of a candidate for nomination or
487 election to a state office in 2006 or thereafter, may receive grants from
488 the Citizens' Election Fund for the candidate's campaign for such
489 office. Any such candidate is eligible to receive such grants if (1) the
490 candidate's candidate committee receives the required amount of
491 qualifying contributions described in section 11 of this act, (2) the

492 candidate's candidate committee returns all contributions that are not
493 qualifying contributions as described in section 11 of this act, (3) the
494 candidate's exploratory committee, if any, returns all contributions that
495 do not meet the criteria for qualifying contributions to a candidate
496 committee as described in section 11 of this act, (4) the candidate
497 agrees to limit campaign expenditures to not more than the aggregate
498 of (A) the amount of qualifying contributions permitted in section 11 of
499 this act, (B) the applicable amount of contributions that the candidate
500 committee receives from party committees in accordance with the
501 provisions of section 9-333s of the general statutes, as amended by this
502 act, and (C) the amount of such grant or grants, and (5) the candidate
503 complies with the requirements of section 14 of this act.

504 Sec. 11. (NEW) (a) The amount of qualifying contributions which
505 the candidate committee of a candidate for state office needs to receive
506 in order to be eligible for grants from the Citizens' Election Fund shall
507 be:

508 (1) In the case of a candidate for nomination or election to the office
509 of Governor, contributions from individuals in the aggregate amount
510 of five hundred thousand dollars, of which four hundred fifty
511 thousand dollars or more is contributed by individuals residing in the
512 state, provided (A) the candidate committee shall return the portion of
513 any contribution or contributions from an individual other than such
514 candidate that exceeds two hundred fifty dollars, and such excess
515 portion shall not be considered in calculating such amounts, and (B) all
516 contributions received by an exploratory committee that meet the
517 criteria for qualifying contributions to candidate committees under this
518 section shall be considered in calculating such amounts; and

519 (2) In the case of a candidate for nomination or election to the office
520 of Lieutenant Governor, Attorney General, State Comptroller, State
521 Treasurer or Secretary of the State, contributions from individuals in
522 the aggregate amount of seventy-five thousand dollars, of which sixty-
523 seven thousand five hundred dollars or more is contributed by

524 individuals residing in the state, provided (A) the candidate committee
525 shall return the portion of any contribution or contributions from an
526 individual other than such candidate that exceeds one hundred fifty
527 dollars, and such excess portion shall not be considered in calculating
528 such amounts, and (B) all contributions received by an exploratory
529 committee that meet the criteria for qualifying contributions to
530 candidate committees under this section shall be considered in
531 calculating such amounts.

532 (b) Each individual who makes a contribution to a candidate
533 committee established to aid or promote the success of a participating
534 candidate for nomination or election to a state office shall include with
535 the contribution a certification that (1) neither the individual nor the
536 individual's spouse is a lobbyist, and (2) neither the individual, the
537 individual's spouse nor an associated business of the individual or the
538 individual's spouse has a contract with the state. A contribution from
539 (A) a lobbyist or the spouse of a lobbyist, or (B) an individual who has
540 a contract with the state, said individual's spouse or an individual
541 whose associated business or spouse's associated business has a
542 contract with the state shall not be deemed to be a qualifying
543 contribution under subsection (a) of this section and shall be returned
544 by the candidate committee.

545 (c) Each individual who makes a contribution to a candidate
546 committee established to aid or promote the success of a participating
547 candidate for nomination or election to a state office shall include the
548 individual's name and address with the contribution. A contribution
549 (1) from an individual that does not include such information, or (2)
550 from an individual who does not reside in the state, in excess of the
551 applicable limit on contributions from nonresidents in subsection (a) of
552 this section, shall not be deemed to be a qualifying contribution under
553 subsection (a) of this section and shall be returned by the candidate
554 committee.

555 Sec. 12. (NEW) (a) Except as provided in sections 20 to 22, inclusive,

556 of this act, the total amount of grants from the Citizens' Election Fund
557 which a qualified candidate committee of a candidate for the office of
558 Governor shall be eligible to receive for the entire campaign for
559 nomination and election to such office shall be calculated by
560 multiplying the total number of electors in the state by one dollar and
561 seventy-five cents. Not later than November fifteenth in the second
562 year preceding the year of a state office election, the Secretary of the
563 State shall determine the total number of electors in the state in
564 accordance with the most recent records on file in the office of the
565 Secretary of the State pursuant to subsection (a) of section 9-65 of the
566 general statutes and transmit said number to the commission.

567 (b) The qualified candidate committee of a major party or minor
568 party candidate for the office of Governor, who does not have a
569 primary for nomination to such office, shall be eligible to receive a
570 grant for each portion of the campaign in the following percentage
571 amounts of the total amount calculated in subsection (a) of this
572 section: (1) Selection and support of delegates to a convention, twenty
573 per cent; (2) convention vote, five per cent; and (3) general election,
574 seventy-five per cent.

575 (c) The qualified candidate committee of a major party or minor
576 party candidate for the office of Governor, who has a primary for
577 nomination to such office, shall be eligible to receive a grant for each
578 portion of the campaign in the following percentage amounts of the
579 total amount calculated in subsection (a) of this section: (1) Selection
580 and support of delegates to a convention, twenty per cent; (2)
581 convention vote, five per cent; (3) primary for nomination, twenty-five
582 per cent; and (4) general election, fifty per cent. In addition, such
583 candidate shall receive a supplemental grant for the general election
584 campaign equal to ten per cent of the total amount calculated in
585 subsection (a) of this section.

586 (d) The qualified candidate committee of a petitioning party
587 candidate for the office of Governor shall be eligible to receive a grant

588 for each portion of the campaign in the following percentage amounts
589 of the total amount calculated in subsection (a) of this section: (1)
590 Petitioning for ballot access, thirty-five per cent; and (2) general
591 election, sixty-five per cent.

592 (e) Not later than January 15, 2007, and annually thereafter, the
593 commission shall compute an increase in the monetary amount that is
594 required to be included in the calculation under subsection (a) of this
595 section. The percentage of such increase shall equal the percentage
596 increase in the average of the bulk mail rates of the United States
597 Postal Service during the preceding calendar year.

598 Sec. 13. (NEW) (a) The total amount of grants from the Citizens'
599 Election Fund which a qualified candidate committee of a candidate
600 for the office of Attorney General, State Comptroller, State Treasurer or
601 Secretary of the State shall be eligible to receive for the entire campaign
602 for nomination and election to such office shall be calculated by
603 multiplying the total number of electors in the state by twenty-two
604 cents. Not later than November fifteenth in the second year preceding
605 the year of a state office election, the Secretary of the State shall
606 determine the total number of electors in the state in accordance with
607 the most recent records on file in the office of the Secretary of the State
608 pursuant to subsection (a) of section 9-65 of the general statutes and
609 transmit said number to the commission.

610 (b) The qualified candidate committee of a major party or minor
611 party candidate for the office of Attorney General, State Comptroller,
612 State Treasurer or Secretary of the State, who does not have a primary
613 for nomination to such office, shall be eligible to receive a grant for
614 each portion of the campaign in the following percentage amounts of
615 the total amount calculated in subsection (a) of this section: (1)
616 Selection and support of delegates to a convention, twenty per cent; (2)
617 convention vote, five per cent; and (3) general election, seventy-five
618 per cent.

619 (c) The qualified candidate committee of a major party or minor

620 party candidate for the office of Attorney General, State Comptroller,
621 State Treasurer or Secretary of the State, who has a primary for
622 nomination to such office, shall be eligible to receive a grant for each
623 portion of the campaign in the following percentage amounts of the
624 total amount calculated in subsection (a) of this section: (1) Selection
625 and support of delegates to a convention, twenty per cent; (2)
626 convention vote, five per cent; (3) primary for nomination, twenty-five
627 per cent; and (4) general election, fifty per cent. In addition, such
628 candidate shall receive a supplemental grant for the general election
629 campaign equal to ten per cent of the total amount calculated in
630 subsection (a) of this section.

631 (d) The qualified candidate committee of a petitioning party
632 candidate for the office of Attorney General, State Comptroller, State
633 Treasurer or Secretary of the State shall be eligible to receive a grant for
634 each portion of the campaign in the following percentage amounts of
635 the total amount calculated in subsection (a) of this section: (1)
636 Petitioning for ballot access, thirty-five per cent; and (2) general
637 election, sixty-five per cent.

638 (e) The qualified candidate committee of a candidate for the office of
639 Lieutenant Governor shall be eligible to receive grants from the
640 Citizens' Election Fund for the selection and support of delegates to a
641 convention, convention vote, primary for nomination and petitioning
642 for ballot access, in the same amounts as the grants for such campaigns
643 for qualified candidate committees of candidates for the offices of
644 Attorney General, State Comptroller, State Treasurer and Secretary of
645 the State. The qualified candidate committee of a candidate for the
646 office of Lieutenant Governor shall not receive a grant for the general
647 election campaign.

648 (f) Not later than January 15, 2007, and annually thereafter, the
649 commission shall compute an increase in the monetary amount that is
650 required to be included in the calculation under subsection (a) of this
651 section. The percentage of such increase shall equal the percentage

652 increase in the average of the bulk mail rates of the United States
653 Postal Service during the preceding calendar year.

654 Sec. 14. (NEW) (a) A candidate for state office whose candidate
655 committee has not received moneys from the Citizens' Election Fund
656 may apply to the State Elections Enforcement Commission for moneys
657 from the fund for one of the following campaigns, during the
658 applicable period: (1) A campaign for the selection and support of
659 delegates to a convention, after January first in the year in which the
660 election is being held for the office that the candidate is seeking; (2) a
661 petitioning campaign for ballot access, after January first in the year in
662 which the election is being held for the office that the candidate is
663 seeking; (3) a campaign for the convention vote, the sixty-day period
664 before the scheduled convening of the convention; (4) a primary
665 campaign, after the close of the state convention of the candidate's
666 party that is called for the purpose of choosing candidates for
667 nomination for the office that the candidate is seeking, if said party
668 endorses the candidate for the office that the candidate is seeking or
669 the candidate receives at least fifteen per cent of the votes of the
670 convention delegates present and voting on any roll call vote taken on
671 the endorsement or proposed endorsement of a candidate for the office
672 the candidate is seeking; or (5) a general election campaign (A) after
673 the close of the state convention of the candidate's party that is called
674 for the purpose of choosing candidates for nomination for the office
675 that the candidate is seeking, if (i) said party endorses said candidate
676 for the office that the candidate is seeking and no other candidate of
677 said party either receives at least fifteen per cent of the votes of the
678 convention delegates present and voting on any roll call vote taken on
679 the endorsement or proposed endorsement of a candidate for said
680 office or files a certificate of candidacy with the Secretary of the State in
681 accordance with the provisions of section 9-400 of the general statutes,
682 or (ii) the candidate receives at least fifteen per cent of the votes of the
683 convention delegates present and voting on any roll call vote taken on
684 the endorsement or proposed endorsement of a candidate for the office
685 the candidate is seeking and no other candidate for such office at such

686 convention either receives the party endorsement or said percentage of
687 said votes for said endorsement or files a certificate of endorsement
688 with the Secretary of the State in accordance with the provisions of
689 section 9-388 of the general statutes or a certificate of candidacy with
690 the Secretary of the State in accordance with the provisions of section
691 9-400 of the general statutes, (B) after any primary held by such party
692 for nomination for such office, if the Secretary of the State declares that
693 the candidate is the party nominee in accordance with the provisions
694 of section 9-440 of the general statutes, or (C) in the case of a
695 petitioning party candidate, after approval by the Secretary of the State
696 of such candidate's nominating petition pursuant to subsection (c) of
697 section 9-453o of the general statutes.

698 (b) The application shall include a written certification that:

699 (1) The candidate committee has received the required amount of
700 qualifying contributions;

701 (2) The candidate committee has repaid all moneys borrowed on
702 behalf of the campaign, as required by subsection (b) of section 18 of
703 this act;

704 (3) The candidate committee has returned the portion of any
705 contribution or contributions from an individual that exceeds (A) two
706 hundred fifty dollars, if the candidate committee is established to aid
707 or promote the success of a candidate for nomination or election to the
708 office of Governor, or (B) one hundred fifty dollars, if the candidate
709 committee is established to aid or promote the success of a candidate
710 for nomination or election to the office of Lieutenant Governor,
711 Attorney General, State Comptroller, State Treasurer or Secretary of
712 the State;

713 (4) The candidate committee has returned all contributions which
714 make the committee's aggregate amount of contributions received total
715 more than the amount of qualifying contributions;

716 (5) The candidate committee has returned any contribution received
717 from (A) a lobbyist or the spouse of a lobbyist, (B) an individual who
718 has a contract with the state, said individual's spouse, or an individual
719 whose associated business or spouse's associated business has a
720 contract with the state, or (C) a political committee;

721 (6) The candidate committee has returned any contribution from an
722 individual who (A) does not include the individual's name and
723 address with the contribution, or (B) does not reside in the state, if said
724 contribution is in excess of the applicable limit on contributions from
725 nonresidents in subsection (a) of section 11 of this act;

726 (7) The candidate's exploratory committee, if any, has returned all
727 contributions that do not meet the criteria for qualifying contributions
728 to a candidate committee as described in section 11 of this act;

729 (8) The candidate committee shall refuse to accept any additional
730 contributions, except for contributions from party committees in
731 accordance with the provisions of section 9-333s of the general statutes,
732 as amended by this act;

733 (9) The campaign treasurer of the candidate committee shall comply
734 with the provisions of sections 1 to 4, inclusive, 6, 7, 10 to 25, inclusive,
735 and 39 and 40 of this act;

736 (10) All moneys received from the fund shall be deposited upon
737 receipt into the depository account of the candidate committee;

738 (11) The campaign treasurer of the candidate committee shall
739 expend all moneys received from the fund in accordance with the
740 provisions of subsection (g) of section 9-333i of the general statutes;

741 (12) All individuals making qualifying contributions to the
742 candidate committee of the candidate have made the certifications
743 required in subsection (b) of section 11 of this act and the candidate has
744 no knowledge that any such certification is false;

745 (13) The campaign treasurer of the candidate committee of the
746 candidate has, and will continue to, file in electronic form all financial
747 disclosure statements required by section 9-333j of the general statutes.
748 The form of such electronic filing shall comply with the provisions of
749 section 9-348ee of the general statutes;

750 (14) If the candidate withdraws from the campaign, becomes
751 ineligible or dies during the campaign, the candidate committee of the
752 candidate shall return to the commission, for deposit in the fund, all
753 moneys received from the fund pursuant to sections 1 to 4, inclusive, 6,
754 7, 10 to 25, inclusive, and 39 and 40 of this act which said candidate
755 committee has not spent as of the date of such occurrence; and

756 (15) In the case of a candidate for the office of Lieutenant Governor,
757 that such candidate is not deemed to be aiding or promoting the
758 success of the campaign for Lieutenant Governor and the success of a
759 candidate for nomination or election to the office of Governor jointly as
760 described in subsection (a) of section 17 of this act.

761 (c) The application shall be accompanied by a cumulative itemized
762 accounting of all funds received, expenditures made and expenses
763 incurred but not yet paid by the candidate committee as of three days
764 before the date that the application is signed. Such accounting shall be
765 sworn to under penalty of false statement by the campaign treasurer of
766 the candidate committee. The commission shall prescribe the form of
767 the application and the cumulative itemized accounting, after
768 consulting with the Secretary of the State. The form for such
769 accounting shall conform to the requirements of section 9-333j of the
770 general statutes. Both the candidate and the campaign treasurer of the
771 candidate committee shall sign the application. The application shall
772 also be accompanied by a bond, with surety, in the amount which the
773 applicant candidate is eligible to receive initially from the fund. The
774 commission shall adopt regulations, in accordance with the provisions
775 of chapter 54 of the general statutes, implementing such requirement
776 of a bond.

777 (d) Not later than five business days following receipt of any such
778 application, the commission shall review the application, determine
779 whether the candidate committee for the applicant (1) has received the
780 required qualifying contributions, and (2) in the case of an application
781 for moneys from the fund for a primary or general election campaign,
782 the applicant has met the applicable condition under subsection (a) of
783 this section for applying for such moneys and, if so, determine the
784 amount of moneys payable to the candidate committee from the fund
785 and notify the State Comptroller and the candidate of such candidate
786 committee, of such amount. Not later than three business days
787 following notification by the commission, the State Comptroller shall
788 draw an order on the State Treasurer for payment of such amount to
789 the qualified candidate committee from the fund.

790 Sec. 15. (NEW) (a) Following the initial deposit of moneys from the
791 fund into the depository account of a qualified candidate committee,
792 no contribution, loan, amount of the candidate's own moneys or any
793 other moneys received by the candidate or the campaign treasurer on
794 behalf of the committee shall be deposited into said depository
795 account, except (1) grants from the fund, (2) contributions from party
796 committees in accordance with the provisions of section 9-333s of the
797 general statutes, as amended by this act, and (3) any additional
798 moneys from the fund as provided in sections 21 and 22 of this act.

799 (b) A qualified candidate committee that receives moneys from the
800 fund, shall not make expenditures or incur expenses in excess of the
801 applicable permitted expenditure amount.

802 Sec. 16. (NEW) (a) A qualified candidate committee that received
803 moneys from the Citizens' Elections Fund for the selection and support
804 of delegates to a convention or for the convention vote and whose
805 candidate is endorsed for nomination to the office that the candidate is
806 seeking at the party's state convention shall receive moneys from the
807 fund for a primary campaign if one or more other candidates for such
808 nomination receive at least fifteen per cent of the votes of the

809 convention delegates present and voting on any roll call vote taken on
810 the endorsement or proposed endorsement of a candidate for said
811 office. Upon the close of the convention and determining that such
812 conditions have been met, the State Elections Enforcement
813 Commission shall notify the State Comptroller of the amount due said
814 candidate. Not later than three business days following notification by
815 the commission, the State Comptroller shall draw an order on the State
816 Treasurer for payment of a primary campaign grant to the qualified
817 candidate committee from the fund. If no primary is held for such
818 nomination, any unspent moneys from such primary campaign grant
819 shall be returned to the commission and deposited in the fund or used
820 by the candidate committee to reduce the amount of the general
821 election campaign grant.

822 (b) A qualified candidate committee that received moneys from the
823 Citizens' Elections Fund for the selection and support of delegates to a
824 convention or for the convention vote and whose candidate receives at
825 least fifteen per cent of the votes of the convention delegates present
826 and voting on any roll call vote taken on the endorsement or proposed
827 endorsement of a candidate for said office shall receive moneys from
828 the fund for a primary campaign if (1) another candidate is endorsed
829 for nomination to the office that the candidate is seeking at the party's
830 state convention, or (2) one or more other candidates for such
831 nomination receive at least fifteen per cent of the votes of the
832 convention delegates present and voting on any roll call vote taken on
833 the endorsement or proposed endorsement of a candidate for said
834 office. Upon the close of the convention and determining that such
835 conditions have been met, the State Elections Enforcement
836 Commission shall notify the State Comptroller of the amount due said
837 candidate. Not later than three business days following notification by
838 the commission, the State Comptroller shall draw an order on the State
839 Treasurer for payment of a primary campaign grant to the qualified
840 candidate committee from the fund. If no primary is held for such
841 nomination, any unspent moneys from such primary campaign grant
842 shall be returned to the commission and deposited in the fund or used

843 by the candidate committee to reduce the amount of the general
844 election campaign grant.

845 (c) If a scheduled primary is cancelled pursuant to section 9-429 of
846 the general statutes, a qualified candidate committee which received
847 moneys from the fund for a primary and whose candidate is deemed
848 to have been lawfully nominated pursuant to said section 9-429 shall
849 receive moneys from the fund for a general election campaign. Upon
850 receiving verification from the Secretary of the State that a scheduled
851 primary has not been held and that the candidate of a qualified
852 candidate committee has been deemed to have been lawfully
853 nominated in accordance with the provisions of said section 9-429, the
854 commission shall notify the State Comptroller of the amount payable
855 to said qualified candidate committee and the State Comptroller shall
856 draw an order on the State Treasurer for payment of the general
857 election campaign grant to said committee from the fund, provided the
858 amount of such general election grant shall be reduced by the amount
859 of the primary campaign grant which said candidate committee has
860 not spent as of the date of cancellation of the primary.

861 (d) A qualified candidate committee that received moneys from the
862 Citizens' Elections Fund for the selection and support of delegates to a
863 convention or for the convention vote shall receive moneys from the
864 fund for a general election campaign if the candidate who established
865 such committee (1) is endorsed for nomination to the office that the
866 candidate is seeking at the party's state convention and no other
867 candidate receives at least fifteen per cent of the votes of the
868 convention delegates present and voting on any roll call vote taken on
869 the endorsement or proposed endorsement of a candidate for said
870 office, or (2) receives at least fifteen per cent of the votes of the
871 convention delegates present and voting on any roll call vote taken on
872 the endorsement or proposed endorsement of a candidate for said
873 office and no other candidate is (A) endorsed for nomination to the
874 office that the candidate is seeking at the party's state convention, or
875 (B) receives at least fifteen per cent of the votes of the convention

876 delegates present and voting on any roll call vote taken on the
877 endorsement or proposed endorsement of a candidate for said office.
878 Upon the close of the convention and determining that such conditions
879 have been met, the State Elections Enforcement Commission shall
880 notify the State Comptroller of the amount due said candidate. Not
881 later than three business days following notification by the
882 commission, the State Comptroller shall draw an order on the State
883 Treasurer for payment of a general election campaign grant to the
884 qualified candidate committee from the fund.

885 (e) A qualified candidate committee which received moneys from
886 the fund for a primary campaign and whose candidate is the party
887 nominee shall receive moneys from the fund for a general election
888 campaign. Upon receiving verification from the Secretary of the State
889 of the declaration by the Secretary of the State in accordance with the
890 provisions of section 9-440 of the general statutes, of the results of the
891 votes cast at the primary, the commission shall notify the State
892 Comptroller of the amount payable to such qualified candidate
893 committee. Not later than three business days following notification by
894 the commission, the State Comptroller shall draw an order on the State
895 Treasurer for payment of the general election campaign grant to said
896 committee from said fund.

897 (f) A qualified candidate committee which received moneys from
898 the fund for a petition campaign for ballot access and whose
899 candidate's nominating petition has been approved by the Secretary of
900 the State pursuant to subsection (c) of section 9-453o of the general
901 statutes shall receive moneys from the fund for a general election
902 campaign. Upon receiving notification from the Secretary of the State
903 of such approval, the commission shall notify the State Comptroller of
904 the amount payable to such qualified candidate committee. Not later
905 than three business days following notification by the commission, the
906 State Comptroller shall draw an order on the State Treasurer for
907 payment of the general election campaign grant to said committee
908 from said fund.

909 (g) Not later than twenty-four hours after any event under this
910 section which entitles a candidate to receive moneys from the fund for
911 a primary campaign or a general election campaign, the Secretary of
912 the State shall notify the commission of such event.

913 Sec. 17. (NEW) (a) For purposes of this section, expenditures made
914 for purposes of the permitted expenditure amount to aid or promote
915 the success of both a candidate for nomination or election to the office
916 of Governor and a candidate for nomination or election to the office of
917 Lieutenant Governor jointly, shall be considered expenditures made to
918 aid or promote the success of a candidate for nomination or election to
919 the office of Governor. The party-endorsed candidate for nomination
920 or election to the office of Lieutenant Governor and the party-endorsed
921 candidate for nomination or election to the office of Governor shall be
922 deemed to be aiding or promoting the success of both candidates
923 jointly upon the earliest of the following: (1) The primary, whether
924 held for the office of Governor, the office of Lieutenant Governor, or
925 both; (2) if no primary is held for the office of Governor or Lieutenant
926 Governor, the convention; or (3) a declaration by the party-endorsed
927 candidates that they shall campaign jointly. Any other candidate for
928 nomination or election to the office of Lieutenant Governor shall be
929 deemed to be aiding or promoting the success of such candidacy for
930 the office of Lieutenant Governor and the success of a candidate for
931 nomination or election to the office of Governor jointly upon a
932 declaration by the candidates that they shall campaign jointly.

933 (b) The candidate committee formed to aid or promote the success
934 of a candidate for nomination or election to the office of Lieutenant
935 Governor, the candidate of which campaigns jointly with a candidate
936 for nomination or election to the office of Governor, shall be dissolved
937 as of the applicable date set forth in subsection (a) of this section. Not
938 later than fifteen days after the applicable date set forth in subsection
939 (a) of this section, the campaign treasurer of the candidate committee
940 formed to aid or promote the success of said candidate for nomination
941 or election to the office of Lieutenant Governor shall file a statement

942 with the proper authority under section 9-333e of the general statutes,
943 as amended by this act, identifying all contributions received or
944 expenditures made by the committee since the previous statement and
945 the balance on hand or deficit, as the case may be. Not later than thirty
946 days after the applicable date set forth in subsection (a) of this section,
947 (1) the campaign treasurer of a qualified candidate committee formed
948 to aid or promote the success of said candidate for nomination or
949 election to the office of Lieutenant Governor shall distribute any
950 surplus to the fund, and (2) the campaign treasurer of a nonqualified
951 candidate committee formed to aid or promote the success of said
952 candidate for nomination or election to the office of Lieutenant
953 Governor shall return such surplus to all contributors on a prorated
954 basis of contribution or distribute such surplus to any charitable
955 organization which is a tax-exempt organization under Section
956 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent
957 corresponding internal revenue code of the United States, as from time
958 to time amended.

959 Sec. 18. (NEW) (a) A qualified candidate committee may borrow
960 moneys on behalf of a campaign for the selection and support of
961 delegates to a convention, a primary or a general election from one or
962 more financial institutions, as defined in section 36a-41 of the general
963 statutes, in an aggregate amount not to exceed one thousand dollars.
964 The amount borrowed shall not constitute a qualifying contribution.
965 No individual, political committee or party committee, except the
966 candidate or, in a general election, the state central committee of a
967 political party, shall endorse or guarantee such a loan in an aggregate
968 amount in excess of two hundred fifty dollars. An endorsement or
969 guarantee of such a loan shall constitute a contribution by such
970 individual or committee for so long as the loan is outstanding. The
971 amount endorsed or guaranteed by such individual or committee shall
972 cease to constitute a contribution upon repayment of the amount
973 endorsed or guaranteed.

974 (b) All such loans shall be repaid in full prior to the date a candidate

975 committee applies for the moneys from the fund pursuant to section 14
976 of this act. The candidate shall certify to the commission that such
977 loans were repaid. A candidate who fails to repay such loans or fails to
978 certify such repayment to the commission shall not be eligible to
979 receive and shall not receive moneys from the fund.

980 Sec. 19. (NEW) A candidate for nomination or election to a state
981 office, whose candidate committee receives moneys from the Citizens'
982 Elections Fund, may expend personal moneys in an aggregate amount
983 not exceeding one thousand dollars to aid or promote the success of
984 such candidate's campaign. Any such expenditure shall be made and
985 reported in accordance with the provisions of sections 9-333i and 9-
986 333j of the general statutes and shall be considered a qualifying
987 contribution for the purposes of section 11 of this act.

988 Sec. 20. (NEW) (a) A qualified candidate committee which receives
989 moneys from the fund pursuant to section 14 of this act and makes
990 expenditures in excess of the permitted expenditure amount (1) shall
991 repay to the fund the amount of expenditures in excess of the
992 applicable permitted expenditure amount, and (2) shall not receive any
993 additional moneys from the fund for the remainder of the election
994 cycle.

995 (b) In addition, a candidate of a qualified candidate committee
996 which receives moneys from the fund pursuant to section 14 of this act
997 and makes expenditures that, with the intent of said candidate, exceed
998 the applicable permitted expenditure amount by more than one per
999 cent shall (A) be liable to the fund for the amount of such excess
1000 expenditures, and (B) be guilty of a class D felony.

1001 Sec. 21. (NEW) (a) Additional moneys from the fund shall be paid to
1002 a qualified candidate committee which received moneys from the fund
1003 if the committee of an opposing candidate makes expenditures in
1004 excess of the applicable permitted expenditure amount. Such
1005 additional moneys from the fund shall be paid to a qualified candidate
1006 committee which received moneys from the fund (1) regardless of

1007 whether the candidate committee which makes expenditures in excess
1008 of the applicable permitted expenditure amount has received moneys
1009 from the fund, (2) in an amount equal to the greatest amount of
1010 expenditures in excess of the applicable permitted expenditure amount
1011 which the committee of an opposing candidate has made expenditures,
1012 but not more than one hundred per cent of the amount of moneys
1013 which the qualified candidate committee has received from the fund,
1014 and (3) immediately following the commission's verification that the
1015 committee of an opposing candidate has made expenditures in excess
1016 of the applicable permitted expenditure amount. In the case of the
1017 candidate committee of a nonparticipating candidate making such
1018 excess expenditures, additional moneys shall not be paid to a qualified
1019 candidate committee under this subsection until the general election
1020 campaign. No qualified candidate committee which expends moneys
1021 in excess of the permitted expenditure amount shall receive additional
1022 moneys from the fund pursuant to this subsection.

1023 (b) If a nonparticipating candidate makes or incurs the obligation to
1024 make an excess expenditure more than twenty days before the day of a
1025 convention, primary or election, the candidate shall file a declaration of
1026 excess expenditures not later than forty-eight hours after making or
1027 incurring the expenditure. If a nonparticipating candidate makes or
1028 incurs the obligation to make an excess expenditure twenty days or
1029 less before the day of a convention, primary or election, the candidate
1030 shall file a declaration of excess expenditures not later than twenty-
1031 four hours after making or incurring the expenditure. The commission
1032 may determine whether any expenditure by a nonparticipating
1033 candidate shall be deemed an excess expenditure.

1034 Sec. 22. (NEW) Upon the receipt of a report under subsection (e) of
1035 section 9-333n of the general statutes, as amended by this act, that such
1036 an independent expenditure has been made or obligated to be made,
1037 the commission shall immediately notify the State Comptroller that
1038 additional money, equal to the amount of the independent
1039 expenditure, shall be paid to the qualifying candidate committees of

1040 each participating candidate whom the independent expenditure is
1041 intended to oppose or defeat. Not later than three business days
1042 following notification by the commission, the State Comptroller shall
1043 draw an order on the State Treasurer for payment of such amount to
1044 each such qualified candidate committee from the fund. The
1045 provisions of this subsection shall be subject to the following:

1046 (1) The maximum aggregate amount of funding that the qualified
1047 candidate committee of a participating candidate shall receive to
1048 match the independent expenditures made or obligated to be made on
1049 behalf of an opposing participating candidate shall not be greater than
1050 one hundred per cent of the total moneys that said candidate
1051 committee has received from the fund.

1052 (2) The maximum aggregate amount of funding that the qualified
1053 candidate committee of a participating candidate shall receive to
1054 match the independent expenditures and the excess expenditures of a
1055 nonparticipating candidate shall not be greater than two hundred per
1056 cent of the total moneys that said candidate committee has received
1057 from the fund.

1058 (3) Such additional funding shall be granted to the qualified
1059 candidate committee of a participating candidate opposed by a
1060 nonparticipating candidate only if the nonparticipating candidate's
1061 campaign expenditures, combined with the amount of the
1062 independent expenditures, exceed the applicable permitted
1063 expenditure amount for the participating candidate, during the general
1064 election campaign.

1065 Sec. 23. (NEW) On the second Tuesday in July in any year in which
1066 a state office election is held, and on each subsequent Tuesday until
1067 and including the fourth Tuesday in October in such year, the
1068 campaign treasurer of each candidate committee organized to aid or
1069 promote the success of a candidate for nomination or election to a state
1070 office at such election shall file with the Secretary of the State and the
1071 commission a statement, sworn under penalty of false statement, of

1072 itemized receipts and expenditures for the preceding seven calendar
1073 days. If a campaign treasurer fails to file any statement required by this
1074 section (1) within the time required, or (2) with both the Secretary of
1075 the State and the commission, such campaign treasurer shall be subject
1076 to a civil penalty imposed by the commission, of not more than one
1077 thousand dollars for each such failure under subdivision (1) or (2) of
1078 this section.

1079 Sec. 24. (NEW) The Secretary of the State shall provide in electronic
1080 format, free of charge, to each committee which receives moneys from
1081 the Citizens' Elections Fund pursuant to section 14 of this act, a copy of
1082 the centralized computer list of registered voters in the state
1083 established pursuant to the plan authorized under section 1 of special
1084 act 91-45.

1085 Sec. 25. (NEW) (a) Not later than March 1, 2005, and March first in
1086 each odd-numbered year thereafter, the commission shall determine
1087 whether the amount of moneys in the fund is sufficient to carry out the
1088 purposes of sections 1 to 4, inclusive, 6 to 25, inclusive, and 39 and 40
1089 of this act, based on the information available to the commission at
1090 such time. If the commission determines at such time that the amount
1091 of moneys in the fund is not sufficient to carry out such purposes, the
1092 commission shall immediately issue a report. The General Assembly
1093 may authorize alternative sources of funding sufficient to carry out the
1094 purposes of sections 1 to 4, inclusive, 6 to 25, inclusive, and 39 and 40
1095 of this act.

1096 (b) Not later than January 1, 2006, and January first in each even-
1097 numbered year thereafter, the commission shall determine whether the
1098 amount of moneys in the fund is sufficient to carry out the purposes of
1099 sections 1 to 4, inclusive, 6 to 25, inclusive, and 39 and 40 of this act for
1100 the election to be held in said year for state offices or General
1101 Assembly offices. If the commission determines that such amount is
1102 not sufficient to carry out such purposes, the commission shall, not
1103 later than three days after such later determination, (1) determine the

1104 percentage of the fund's obligations that can be met for said election,
1105 (2) recalculate the amount of each payment that a qualified candidate
1106 committee of a candidate for a state office is entitled to receive under
1107 section 12 or 13 of this act or that a candidate committee of a
1108 participating candidate for a General Assembly office is entitled to
1109 receive under section 9 of this act when a nonparticipating candidate
1110 exceeds the applicable expenditure limit in section 8 of this act, by
1111 multiplying such percentage by the amount that the committee would
1112 have been entitled to receive under section 9, 12 or 13 of this act if there
1113 were a sufficient amount of moneys in the fund, and (3) notify each
1114 applicant for moneys from the fund of such insufficiency, percentage
1115 and applicable recalculation. After a qualified candidate committee of
1116 a candidate for a state office first receives any such recalculated
1117 payment, the committee may resume accepting contributions and
1118 making expenditures from such contributions, provided no qualified
1119 candidate committee which receives such recalculated payments from
1120 the fund shall accept contributions in excess of the amount of moneys
1121 which the committee was entitled to receive from the fund but did not
1122 receive from the fund. After a candidate committee of a candidate for a
1123 General Assembly office first receives any such recalculated payment,
1124 the committee may exceed the applicable expenditure limit in section 8
1125 of this act, provided the sum of such excess spending and such
1126 recalculated payment shall not exceed the amount of excess spending
1127 by the nonparticipating candidate. The commission shall also issue a
1128 report on said determination.

1129 (c) The General Assembly may authorize alternative sources of
1130 funding sufficient to carry out the purposes of sections 1 to 4, inclusive,
1131 6 to 25, inclusive, and 39 and 40 of this act. If the commission issues
1132 such determination at a time when the General Assembly is not in
1133 session, the commission shall notify the president pro tempore of the
1134 Senate and the speaker of the House of Representatives who may call a
1135 special session of the General Assembly, in accordance with section 2-7
1136 of the general statutes, to consider authorizing such alternative sources
1137 of funding.

1138 (d) The commission shall establish a reserve account in the fund.
1139 The first twenty-five thousand dollars deposited in the fund during
1140 any year shall be placed in said account. The commission shall use
1141 moneys in the reserve account only during the seven days preceding
1142 an election for payments to candidates (1) whose payments were
1143 reduced under subsection (b) of this section, or (2) who are entitled to
1144 funding to match independent expenditures pursuant to section 9 or
1145 22 of this act during said seven-day period.

1146 Sec. 26. Section 9-333a of the general statutes is repealed and the
1147 following is substituted in lieu thereof:

1148 As used in this chapter and sections 1 to 4, inclusive, 6 to 25,
1149 inclusive, and 39 and 40 of this act:

1150 (1) "Committee" means a party committee, political committee or a
1151 candidate committee organized, as the case may be, for a single
1152 primary, election or referendum, or for ongoing political activities, to
1153 aid or promote the success or defeat of any political party, any one or
1154 more candidates for public office or the position of convention
1155 delegate or town committee member or any referendum question.

1156 (2) "Party committee" means a state central committee or a town
1157 committee. "Party committee" does not mean a party-affiliated or
1158 district, ward or borough committee which receives all of its funds
1159 from the state central committee of its party or from a single town
1160 committee with the same party affiliation. Any such committee so
1161 funded shall be construed to be a part of its state central or town
1162 committee for purposes of this chapter and sections 1 to 4, inclusive, 6
1163 to 25, inclusive, and 39 and 40 of this act.

1164 (3) "Political committee" means (A) a committee organized by a
1165 business entity or organization, (B) persons other than individuals, or
1166 two or more individuals organized or acting jointly conducting their
1167 activities in or outside the state, (C) a committee established by a
1168 candidate to determine the particular public office to which [he] such

1169 candidate shall seek nomination or election, and referred to in this
1170 chapter as an exploratory committee or (D) a committee established by
1171 or on behalf of a slate of candidates in a primary for the position of
1172 convention delegate, but does not mean a candidate committee or a
1173 party committee.

1174 (4) "Candidate committee" means any committee designated by a
1175 single candidate, or established with the consent, authorization or
1176 cooperation of a candidate, for the purpose of a single primary or
1177 election and to aid or promote [his] such candidate's candidacy alone
1178 for a particular public office or the position of town committee
1179 member, but does not mean a political committee or a party
1180 committee.

1181 (5) "National committee" means the organization which according to
1182 the bylaws of a political party is responsible for the day-to-day
1183 operation of the party at the national level.

1184 (6) "Organization" means all labor organizations, (A) as defined in
1185 the Labor-Management Reporting and Disclosure Act of 1959, as from
1186 time to time amended, or (B) as defined in subdivision (9) of section
1187 31-101, employee organizations as defined in subsection (d) of section
1188 5-270 and subdivision (6) of section 7-467, bargaining representative
1189 organizations for teachers, any local, state or national organization, to
1190 which a labor organization pays membership or per capita fees, based
1191 upon its affiliation or membership, and trade or professional
1192 associations which receive their funds exclusively from membership
1193 dues, whether organized in or outside of this state, but does not mean
1194 a candidate committee, party committee or a political committee.

1195 (7) "Business entity" means the following, whether organized in or
1196 outside of this state: Stock corporations, banks, insurance companies,
1197 business associations, bankers associations, insurance associations,
1198 trade or professional associations which receive funds from
1199 membership dues and other sources, partnerships, joint ventures,
1200 private foundations, as defined in Section 509 of the Internal Revenue

1201 Code of 1986, or any subsequent corresponding internal revenue code
1202 of the United States, as from time to time amended; trusts or estates;
1203 corporations organized under sections 38a-175 to 38a-192, inclusive,
1204 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and
1205 chapters 594 to 597, inclusive; cooperatives, and any other association,
1206 organization or entity which is engaged in the operation of a business
1207 or profit-making activity; but does not include professional service
1208 corporations organized under chapter 594a and owned by a single
1209 individual, nonstock corporations which are not engaged in business
1210 or profit-making activity, organizations, as defined in subdivision (6)
1211 of this section, candidate committees, party committees and political
1212 committees as defined in this section. For purposes of this chapter,
1213 corporations which are component members of a controlled group of
1214 corporations, as those terms are defined in Section 1563 of the Internal
1215 Revenue Code of 1986, or any subsequent corresponding internal
1216 revenue code of the United States, as from time to time amended, shall
1217 be deemed to be one corporation.

1218 (8) "Individual" means a human being, a sole proprietorship, or a
1219 professional service corporation organized under chapter 594a and
1220 owned by a single human being.

1221 (9) "Person" means an individual, committee, firm, partnership,
1222 organization, association, syndicate, company trust, corporation,
1223 limited liability company or any other legal entity of any kind but does
1224 not mean the state or any political or administrative subdivision of the
1225 state.

1226 (10) "Candidate" means an individual who seeks nomination for
1227 election or election to public office whether or not such individual is
1228 elected, and for the purposes of this chapter and sections 1 to 4,
1229 inclusive, 6 to 25, inclusive, and 39 and 40 of this act an individual
1230 shall be deemed to seek nomination for election or election if [he] such
1231 individual has (A) been endorsed by a party or become eligible for a
1232 position on the ballot at an election or primary or (B) solicited or

1233 received contributions or made expenditures or given [his] such
1234 individual's consent to any other person to solicit or receive
1235 contributions or make expenditures with the intent to bring about [his]
1236 such individual's nomination for election or election to any such office.
1237 "Candidate" also means a slate of candidates which is to appear on the
1238 ballot in a primary for the position of convention delegate. For the
1239 purposes of sections 9-333 to 9-333l, inclusive, as amended by this act,
1240 and section 9-333w, "candidate" also means an individual who is a
1241 candidate in a primary for town committee members.

1242 (11) "Campaign treasurer" means the individual appointed by a
1243 candidate or by the [chairman] chairperson of a party committee or a
1244 political committee to receive and disburse funds on behalf of the
1245 candidate or committee.

1246 (12) "Deputy campaign treasurer" means the individual appointed
1247 by the candidate or by the [chairman] chairperson of a committee to
1248 serve in the capacity of the campaign treasurer if the campaign
1249 treasurer is unable to perform [his] the campaign treasurer's duties.

1250 (13) "Solicitor" means an individual appointed by a campaign
1251 treasurer of a committee to receive, but not to disburse, funds on
1252 behalf of the committee.

1253 (14) "Referendum question" means a question to be voted upon at
1254 any election or referendum, including a proposed constitutional
1255 amendment.

1256 (15) "Lobbyist" means a lobbyist, as defined in subsection (l) of
1257 section 1-91.

1258 (16) "Business with which he is associated" means any business in
1259 which the contributor is a director, officer, owner, limited or general
1260 partner or holder of stock constituting five per cent or more of the total
1261 outstanding stock of any class. Officer refers only to the president,
1262 executive or senior vice-president or treasurer of such business.

1263 (17) "Independent expenditure" means an expenditure that is made
1264 without the consent, knowing participation, or consultation of, a
1265 candidate or agent of the candidate committee. "Independent
1266 expenditure" does not include an expenditure (A) if there is any
1267 coordination or direction with respect to the expenditure between the
1268 candidate or the treasurer, deputy treasurer or [chairman] chairperson
1269 of [his] such candidate committee and the person making the
1270 expenditure, or (B) if, during the same election cycle, the individual
1271 making the expenditure serves or has served as the treasurer, deputy
1272 treasurer or [chairman] chairperson of the candidate committee.

1273 (18) "Federal account" means a depository account that is subject to
1274 the disclosure and contribution limits provided under the Federal
1275 Election Campaign Act of 1971, as amended from time to time.

1276 (19) "Public funds" means funds belonging to, or under the control
1277 of, the state or a political subdivision of the state.

1278 Sec. 27. Section 9-333b of the general statutes is repealed and the
1279 following is substituted in lieu thereof:

1280 (a) As used in this chapter and sections 1 to 4, inclusive, 6 to 25,
1281 inclusive, and 39 and 40 of this act, "contribution" means:

1282 (1) Any gift, subscription, loan, advance, payment or deposit of
1283 money or anything of value, made for the purpose of influencing the
1284 nomination for election, or election, of any person or for the purpose of
1285 aiding or promoting the success or defeat of any referendum question
1286 or on behalf of any political party;

1287 (2) A written contract, promise or agreement to make a contribution
1288 for any such purpose;

1289 (3) The payment by any person, other than a candidate or campaign
1290 treasurer, of compensation for the personal services of any other
1291 person which are rendered without charge to a committee or candidate
1292 for any such purpose;

1293 (4) An expenditure when made by a person with the cooperation of,
1294 or in consultation with, any candidate, candidate committee or
1295 candidate's agent or which is made in concert with, or at the request or
1296 suggestion of, any candidate, candidate committee or candidate's
1297 agent; or

1298 (5) Funds received by a committee which are transferred from
1299 another committee or other source for any such purpose.

1300 (b) As used in this chapter and sections 1 to 4, inclusive, 6 to 25,
1301 inclusive, and 39 and 40 of this act, "contribution" does not mean:

1302 (1) A loan of money made in the ordinary course of business by a
1303 national or state bank;

1304 (2) Any communication made by a corporation, organization or
1305 association to its members, owners, stockholders, executive or
1306 administrative personnel, or their families;

1307 (3) Nonpartisan voter registration and get-out-the-vote campaigns
1308 by any corporation, organization or association aimed at its members,
1309 owners, stockholders, executive or administrative personnel, or their
1310 families;

1311 (4) Uncompensated services provided by individuals volunteering
1312 their time;

1313 (5) The use of real or personal property, and the cost of invitations,
1314 food or beverages, voluntarily provided by an individual to a
1315 candidate or on behalf of a state central or town committee, in
1316 rendering voluntary personal services for candidate or party-related
1317 activities at the individual's residence, to the extent that the cumulative
1318 value of the invitations, food or beverages provided by the individual
1319 on behalf of any single candidate does not exceed two hundred dollars
1320 with respect to any single election, and on behalf of all state central
1321 and town committees does not exceed four hundred dollars in any
1322 calendar year;

1323 (6) The sale of food or beverage for use in a candidate's campaign or
1324 for use by a state central or town committee at a discount, if the charge
1325 is not less than the cost to the vendor, to the extent that the cumulative
1326 value of the discount given to or on behalf of any single candidate does
1327 not exceed two hundred dollars with respect to any single election,
1328 and on behalf of all state central and town committees does not exceed
1329 four hundred dollars in a calendar year;

1330 (7) Any unreimbursed payment for travel expenses made by an
1331 individual who on [his] said individual's own behalf volunteers [his]
1332 said individual's personal services to any single candidate to the extent
1333 the cumulative value does not exceed two hundred dollars with
1334 respect to any single election, and on behalf of all state central or town
1335 committees does not exceed four hundred dollars in a calendar year;

1336 (8) The payment, by a party committee, political committee or an
1337 individual, of the costs of preparation, display, mailing or other
1338 distribution incurred by the committee or individual with respect to
1339 any printed slate card, sample ballot or other printed list containing
1340 the names of three or more candidates;

1341 (9) The donation of any item of personal property by an individual
1342 to a committee for a fund-raising affair, including a tag sale or auction,
1343 or the purchase by an individual of any such item at such an affair, to
1344 the extent that the cumulative value donated or purchased does not
1345 exceed fifty dollars;

1346 (10) The purchase of advertising space which clearly identifies the
1347 purchaser, in a program for a fund-raising affair, provided the
1348 cumulative purchase of such space does not exceed two hundred fifty
1349 dollars from any single candidate or [his] committee of any single
1350 candidate with respect to any single election campaign or two hundred
1351 fifty dollars from any single party committee or other political
1352 committee in any calendar year if the purchaser is a business entity or
1353 fifty dollars for purchases by any other person, except that the
1354 purchase of advertising space described in this subdivision shall be

1355 deemed to be a contribution for the purposes of sections 1 to 4,
1356 inclusive, 10 to 25, inclusive, and 39 and 40 of this act;

1357 (11) The payment of money by a candidate to [his] said candidate's
1358 candidate committee;

1359 (12) The donation of goods or services by a business entity to a
1360 committee for a fund-raising affair, including a tag sale or auction, to
1361 the extent that the cumulative value donated does not exceed one
1362 hundred dollars;

1363 (13) The advance of a security deposit by an individual to a
1364 telephone company, as defined in section 16-1, for telecommunications
1365 service for a committee, provided the security deposit is refunded to
1366 the individual; or

1367 (14) The provision of facilities, equipment, technical and managerial
1368 support, and broadcast time by a community antenna television
1369 company, as defined in section 16-1, for community access
1370 programming pursuant to section 16-331a, unless (A) the major
1371 purpose of providing such facilities, equipment, support and time is to
1372 influence the nomination or election of a candidate, or (B) such
1373 facilities, equipment, support and time are provided on behalf of a
1374 political party.

1375 Sec. 28. Subsection (a) of section 9-333e of the general statutes is
1376 repealed and the following is substituted in lieu thereof:

1377 (a) Statements filed by party committees, political committees
1378 formed to aid or promote the success or defeat of a referendum
1379 question proposing a constitutional convention, constitutional
1380 amendment or revision of the constitution, individual lobbyists, and
1381 those political committees and candidate committees formed to aid or
1382 promote the success or defeat of any candidate for the office of
1383 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
1384 State Comptroller, Attorney General, judge of probate and members of

1385 the General Assembly, shall be filed with the office of the Secretary of
1386 the State. A copy of each statement filed by a candidate committee
1387 formed to aid or promote the success of any candidate for the office of
1388 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
1389 State Comptroller, Attorney General, state representative or state
1390 senator shall be filed at the same time with the commission. A copy of
1391 each statement filed by a town committee shall be filed at the same
1392 time with the town clerk of the municipality in which the committee is
1393 situated. A political committee formed for a slate of candidates in a
1394 primary for the position of convention delegate shall file statements
1395 with both the Secretary of the State and the town clerk of the
1396 municipality in which the primary is to be held.

1397 Sec. 29. Subsection (a) of section 9-333m of the general statutes is
1398 repealed and the following is substituted in lieu thereof:

1399 (a) No individual shall make a contribution or contributions to, for
1400 the benefit of, or pursuant to the authorization or request of, a
1401 candidate or a committee supporting or opposing any candidate's
1402 campaign for nomination at a primary, or any candidate's campaign
1403 for election, to the office of (1) Governor, in excess of [two thousand
1404 five hundred] one thousand dollars; (2) Lieutenant Governor,
1405 Secretary of the State, State Treasurer, State Comptroller or Attorney
1406 General, in excess of [one thousand five hundred] seven hundred fifty
1407 dollars; (3) chief executive officer of a town, city or borough, in excess
1408 of one thousand dollars; (4) state senator or probate judge, in excess of
1409 five hundred dollars; or (5) state representative or any other office of a
1410 municipality not [previously] specifically included in this subsection,
1411 in excess of two hundred fifty dollars. [The] Except for contributions
1412 to, or for the benefit of, a candidate's campaign for the office of
1413 Governor, Lieutenant Governor, Secretary of the State, State Treasurer,
1414 State Comptroller or Attorney General, the limits imposed by this
1415 subsection shall be applied separately to primaries and elections.

1416 Sec. 30. Section 9-333n of the general statutes is repealed and the

1417 following is substituted in lieu thereof:

1418 (a) No individual shall make a contribution or contributions in any
1419 one calendar year in excess of five thousand dollars to the state central
1420 committee of any party, or for the benefit of such committee pursuant
1421 to its authorization or request; or one thousand dollars to a town
1422 committee of any political party, or for the benefit of such committee
1423 pursuant to its authorization or request; or one thousand dollars to a
1424 political committee other than (1) a political committee formed solely
1425 to aid or promote the success or defeat of a referendum question, (2) an
1426 exploratory committee, (3) a political committee established by an
1427 organization, or for the benefit of such committee pursuant to its
1428 authorization or request, or (4) a political committee formed by a slate
1429 of candidates in a primary for the position of delegate to the same
1430 convention. No individual who makes a contribution to a party
1431 committee may direct such committee to contribute or expend any
1432 portion of such contribution to, or for the benefit of, any candidate's
1433 campaign for nomination or election to a state office, as defined in
1434 section 1 of this act.

1435 (b) No individual shall make a contribution to a political committee
1436 established by an organization which receives its funds from the
1437 organization's treasury. With respect to a political committee
1438 established by an organization which has complied with the provisions
1439 of subsection (b) or (c) of section 9-333p, and has elected to receive
1440 contributions, no individual other than a member of the organization
1441 may make contributions to the committee, in which case the individual
1442 may contribute not more than five hundred dollars in any one calendar
1443 year to such committee or for the benefit of such committee pursuant
1444 to its authorization or request.

1445 (c) In no event may any individual make contributions to a
1446 candidate committee and a political committee formed solely to
1447 support one candidate other than an exploratory committee or for the
1448 benefit of a candidate committee and a political committee formed

1449 solely to support one candidate pursuant to the authorization or
1450 request of any such committee, in an amount which in the aggregate is
1451 in excess of the maximum amount which may be contributed to the
1452 candidate.

1453 (d) Any individual may make unlimited contributions or
1454 expenditures to aid or promote the success or defeat of any
1455 referendum question, provided any individual who makes an
1456 expenditure or expenditures in excess of one thousand dollars to
1457 promote the success or defeat of any referendum question shall file
1458 statements according to the same schedule and in the same manner as
1459 is required of a campaign treasurer of a political committee under
1460 section 9-333j.

1461 (e) (1) Any individual acting alone may, independent of any
1462 candidate, agent of the candidate, or committee, make unlimited
1463 expenditures to promote the success or defeat of any candidate's
1464 campaign for election, or nomination at a primary, to any office or
1465 position. [provided any individual who makes an independent
1466 expenditure or expenditures in excess of one thousand dollars to
1467 promote the success or defeat of any candidate's campaign for election,
1468 or nomination at a primary, to any such office or position shall file
1469 statements according to the same schedule and in the same manner as
1470 is required of a campaign treasurer of a candidate committee under
1471 section 9-333j.]

1472 (2) Any person who makes or obligates to make an independent
1473 expenditure, as defined in section 9-333a, intended to promote the
1474 success or defeat of a candidate for public office or any position, which
1475 exceeds five hundred dollars, in the aggregate, during the period for
1476 the selection and support of delegates to a convention, a primary
1477 campaign period or a general election campaign period, shall file a
1478 report of such independent expenditure to the State Elections
1479 Enforcement Commission. The report shall be in the same form as
1480 statements filed under section 9-333j. If the person makes or obligates

1481 to make such independent expenditure more than twenty days before
1482 the day of a convention, primary or election, the person shall file such
1483 report not later than forty-eight hours after such payment or
1484 obligation. If the person makes or obligates to make such independent
1485 expenditure twenty days or less before the day of a convention,
1486 primary or election, the person shall file such report not later than
1487 twenty-four hours after such payment or obligation. The report shall
1488 be filed under penalty of false statement.

1489 (3) The independent expenditure report in subdivision (2) of this
1490 subsection shall include a statement (A) identifying the candidate for
1491 whom the independent expenditure is intended to promote the success
1492 or defeat, (B) affirming that the expenditure is totally independent and
1493 involves no cooperation or coordination with or direction from a
1494 candidate or a political party, and (C) affirming that the individual
1495 making the expenditure has not served or does not serve as treasurer,
1496 deputy treasurer or chairperson of the candidate committee during the
1497 same election cycle.

1498 (4) Any person may file a complaint with the commission upon the
1499 belief that (A) any such independent expenditure report or statement
1500 is false, or (B) any person who is required to file an independent
1501 expenditure report under subdivision (2) of this subsection has failed
1502 to do so. The commission shall make a prompt determination on such
1503 a complaint.

1504 (f) (1) As used in this subsection, "investment services" means legal
1505 services, investment banking services, investment advisory services,
1506 underwriting services, financial advisory services or brokerage firm
1507 services.

1508 (2) No individual who is an owner of a firm which provides
1509 investment services and to which the State Treasurer pays
1510 compensation, expenses or fees or issues a contract, and no individual
1511 who is employed by such a firm as a manager, officer, director, partner
1512 or employee with managerial or discretionary responsibilities to

1513 invest, manage funds or provide investment services for brokerage,
1514 underwriting and financial advisory activities which are in the
1515 statutory and constitutional purview of the State Treasurer, shall make
1516 a contribution on or after October 1, 1995, to, or solicit contributions on
1517 or after said date on behalf of, an exploratory committee or candidate
1518 committee established by a candidate for nomination or election to the
1519 office of State Treasurer during the term of office of the State Treasurer
1520 which pays compensation, expenses or fees or issues a contract to such
1521 firm.

1522 (3) Neither the State Treasurer, the Deputy Treasurer, any candidate
1523 for the office of State Treasurer nor any member of the Investment
1524 Advisory Council established under section 3-13b may solicit
1525 contributions on behalf of an exploratory committee or candidate
1526 committee established by a candidate for nomination or election to any
1527 public office, from any individual who is an owner of a firm which
1528 provides investment services and to which the State Treasurer pays
1529 compensation, expenses or fees or issues a contract, or from any
1530 individual who is employed by such a firm as a manager, officer,
1531 director, partner or employee with managerial or discretionary
1532 responsibilities to invest, manage funds or provide investment services
1533 for brokerage, underwriting and financial advisory activities which are
1534 in the statutory and constitutional purview of the State Treasurer.

1535 (4) No member of the Investment Advisory Council appointed
1536 under section 3-13b shall make a contribution to, or solicit
1537 contributions on behalf of, an exploratory committee or candidate
1538 committee established by a candidate for nomination or election to the
1539 office of State Treasurer.

1540 (5) No individual who is an owner of a firm which provides
1541 investment services and to which the State Treasurer pays
1542 compensation, expenses or fees or issues a contract, and no individual
1543 who is employed by such a firm as a manager, officer, director, partner
1544 or employee with managerial or discretionary responsibilities to

1545 invest, manage funds or provide investment services for brokerage,
1546 underwriting and financial advisory activities which are in the
1547 statutory and constitutional purview of the State Treasurer, may make
1548 a contribution to, or solicit contributions on behalf of, an exploratory
1549 committee or candidate committee established by a candidate for
1550 nomination or election to any public office.

1551 Sec. 31. Subsection (d) of section 9-333o of the general statutes is
1552 repealed and the following is substituted in lieu thereof:

1553 (d) A political committee organized by a business entity shall not
1554 make a contribution or contributions to or for the benefit of any
1555 candidate's campaign for nomination at a primary or any candidate's
1556 campaign for election to the office of: (1) Governor, in excess of [five]
1557 one thousand dollars; (2) Lieutenant Governor, Secretary of the State,
1558 State Treasurer, State Comptroller or Attorney General, in excess of
1559 [three thousand] seven hundred fifty dollars; (3) state senator, probate
1560 judge or chief executive officer of a town, city or borough, in excess of
1561 one thousand dollars; (4) state representative, in excess of five hundred
1562 dollars; (5) any other office of a municipality not included in
1563 subdivision (3) of this subsection, in excess of two hundred fifty
1564 dollars; or an exploratory committee, in excess of two hundred fifty
1565 dollars. [The] Except for contributions to, or for the benefit of, a
1566 candidate's campaign for the office of Governor, Lieutenant Governor,
1567 Secretary of the State, State Treasurer, State Comptroller or Attorney
1568 General, the limits imposed by this subsection shall apply separately to
1569 primaries and elections, and contributions by any such committee to
1570 candidates designated in this subsection shall not exceed one hundred
1571 thousand dollars in the aggregate for any single election and primary
1572 preliminary thereto. Contributions to such committees shall also be
1573 subject to the provisions of section 9-333t, as amended by this act, in
1574 the case of committees formed for ongoing political activity or section
1575 9-333u, as amended by this act, in the case of committees formed for a
1576 single election or primary.

1577 Sec. 32. Section 9-333q of the general statutes is repealed and the
1578 following is substituted in lieu thereof:

1579 (a) No political committee established by an organization shall
1580 make a contribution or contributions to, or for the benefit of, any
1581 candidate's campaign for nomination at a primary or for election to the
1582 office of: (1) Governor, in excess of [two thousand five hundred] one
1583 thousand dollars; (2) Lieutenant Governor, Secretary of the State, State
1584 Treasurer, State Comptroller or Attorney General, in excess of [one
1585 thousand five hundred] seven hundred fifty dollars; (3) chief executive
1586 officer of a town, city or borough, in excess of one thousand dollars; (4)
1587 state senator or probate judge, in excess of five hundred dollars; or (5)
1588 state representative or any other office of a municipality not
1589 [previously] specifically included in this subsection, in excess of two
1590 hundred fifty dollars.

1591 (b) No such committee shall make a contribution or contributions to,
1592 or for the benefit of, an exploratory committee, in excess of two
1593 hundred fifty dollars. Any such committee may make unlimited
1594 contributions to a political committee formed solely to aid or promote
1595 the success or defeat of a referendum question.

1596 (c) [The] Except for contributions to, or for the benefit of, a
1597 candidate's campaign for the office of Governor, Lieutenant Governor,
1598 Secretary of the State, State Treasurer, State Comptroller or Attorney
1599 General, the limits imposed by subsection (a) of this section shall apply
1600 separately to primaries and elections. [and no] No such committee
1601 shall make contributions to the candidates designated in this section
1602 which in the aggregate exceed fifty thousand dollars for any single
1603 election and primary preliminary thereto.

1604 (d) No political committee established by an organization shall
1605 make contributions in any one calendar year to, or for the benefit of, (1)
1606 the state central committee of a political party, in excess of five
1607 thousand dollars; (2) a town committee, in excess of one thousand
1608 dollars; or (3) any political committee, other than an exploratory

1609 committee or a committee formed solely to aid or promote the success
1610 or defeat of a referendum question, in excess of two thousand dollars.

1611 (e) No political committee established by an organization shall make
1612 contributions to the committees designated in subsection (d) of this
1613 section, which in the aggregate exceed fifteen thousand dollars in any
1614 one calendar year. Contributions to a political committee established
1615 by an organization shall also be subject to the provisions of section 9-
1616 333t, as amended by this act, in the case of a committee formed for
1617 ongoing political activity or section 9-333u, as amended by this act, in
1618 the case of a committee formed for a single election or primary.

1619 Sec. 33. Section 9-333s of the general statutes is repealed and the
1620 following is substituted in lieu thereof:

1621 (a) A party committee may make unlimited contributions to, or for
1622 the benefit of, any of the following: (1) Another party committee; (2) a
1623 candidate committee other than a candidate committee established to
1624 aid or promote the success of one candidate for nomination at a
1625 primary or election to the office of Governor, Lieutenant Governor,
1626 Secretary of the State, State Treasurer, State Comptroller or Attorney
1627 General; (3) a national committee of a political party; (4) a committee of
1628 a candidate for federal or out-of-state office; or (5) a political
1629 committee.

1630 (b) (1) No state central committee shall make a contribution in
1631 excess of (A) fifty thousand dollars to a candidate committee
1632 established to aid or promote the success of one candidate for
1633 nomination at a primary or election to the office of Governor, and (B)
1634 ten thousand dollars to a candidate committee established to aid or
1635 promote the success of one candidate for nomination at a primary or
1636 election to the office of Lieutenant Governor, Secretary of the State,
1637 State Treasurer, State Comptroller or Attorney General.

1638 (2) No town committee shall make a contribution in excess of (A)
1639 one thousand dollars to a candidate committee established to aid or

1640 promote the success of one candidate for nomination at a primary or
1641 election to the office of Governor, and (B) five hundred dollars to a
1642 candidate committee established to aid or promote the success of one
1643 candidate for nomination at a primary or election to the office of
1644 Lieutenant Governor, Secretary of the State, State Treasurer, State
1645 Comptroller or Attorney General.

1646 (3) The limits imposed by this subsection shall not apply separately
1647 to primaries and elections.

1648 (c) (1) No candidate committee of a candidate for nomination or
1649 election to the office of Governor shall receive more than (A) fifty
1650 thousand dollars, in total, from state central committees, and (B)
1651 seventy-five thousand dollars, in total, from town committees.

1652 (2) No candidate committee of a candidate for nomination or
1653 election to the office of Lieutenant Governor, Attorney General, State
1654 Comptroller, State Treasurer or Secretary of the State shall receive
1655 more than (A) ten thousand dollars, in total, from state central
1656 committees, and (B) twenty thousand dollars, in total, from town
1657 committees.

1658 (3) The limits imposed by this subsection shall not apply separately
1659 to primaries and elections.

1660 (d) A party committee may also make contributions to a charitable
1661 organization which is a tax-exempt organization under Section
1662 501(c)(3) of the Internal Revenue Code, as from time to time amended,
1663 or make memorial contributions.

1664 [(b)] (e) A party committee may receive contributions from a federal
1665 account of a national committee of a political party, but may not
1666 receive contributions from any other account of a national committee
1667 of a political party or from a committee of a candidate for federal or
1668 out-of-state office, for use in the election of candidates subject to the
1669 provisions of this chapter.

1670 Sec. 34. Section 9-333t of the general statutes is repealed and the
1671 following is substituted in lieu thereof:

1672 (a) No political committee organized for ongoing political activities
1673 shall make contributions to, or for the benefit of, any candidate's
1674 campaign for nomination at a primary or for election to the office of:
1675 (1) Governor, in excess of one thousand dollars; or (2) Lieutenant
1676 Governor, Secretary of the State, State Treasurer, State Comptroller or
1677 Attorney General, in excess of seven hundred fifty dollars. The limits
1678 imposed by this subsection shall not apply separately to primaries and
1679 elections.

1680 [(a)] (b) A political committee organized for ongoing political
1681 activities may make unlimited contributions to, or for the benefit of, a
1682 party committee; any national committee of a political party; a
1683 candidate committee other than a candidate committee established to
1684 aid or promote the success of one candidate for nomination at a
1685 primary or election to the office of Governor, Lieutenant Governor,
1686 Attorney General, Secretary of the State, State Treasurer or State
1687 Comptroller; or a committee of a candidate for federal or out-of-state
1688 office. No such political committee shall make a contribution or
1689 contributions in excess of two thousand dollars to another political
1690 committee in any calendar year except that a political committee
1691 organized by a business entity may make unlimited contributions to,
1692 or for the benefit of, another political committee organized by a
1693 business entity. No political committee organized for ongoing political
1694 activities shall make a contribution in excess of two hundred fifty
1695 dollars to an exploratory committee. If such an ongoing committee is
1696 established by an organization or a business entity, its contributions
1697 shall be subject to the limits imposed by sections 9-333o to 9-333q,
1698 inclusive, as amended by this act. A political committee organized for
1699 ongoing political activities may make contributions to a charitable
1700 organization which is a tax-exempt organization under Section
1701 501(c)(3) of the Internal Revenue Code, as from time to time amended,
1702 or make memorial contributions.

1703 ~~[(b)]~~ (c) A political committee organized for ongoing political
1704 activities may receive contributions from the federal account of a
1705 national committee of a political party, but may not receive
1706 contributions from any other account of a national committee of a
1707 political party or from a committee of a candidate for federal or out-of-
1708 state office.

1709 Sec. 35. Section 9-333u of the general statutes is repealed and the
1710 following is substituted in lieu thereof:

1711 (a) No political committee established for a single primary or
1712 election shall make contributions to, or for the benefit of, any
1713 candidate's campaign for nomination at a primary or for election to the
1714 office of: (1) Governor, in excess of one thousand dollars; or (2)
1715 Lieutenant Governor, Secretary of the State, State Treasurer, State
1716 Comptroller or Attorney General, in excess of seven hundred fifty
1717 dollars. The limits imposed by this subsection shall not apply
1718 separately to primaries and elections.

1719 ~~[(a)]~~ (b) A political committee established for a single primary or
1720 election may make unlimited contributions to, or for the benefit of, a
1721 party committee or a candidate committee other than a candidate
1722 committee established to aid or promote the success of one candidate
1723 for nomination at a primary or election to the office of Governor,
1724 Lieutenant Governor, Attorney General, Secretary of the State, State
1725 Treasurer or State Comptroller, but no such political committee shall
1726 make contributions to a national committee, or a committee of a
1727 candidate for federal or out-of-state office. If such a political committee
1728 is established by an organization or a business entity, its contributions
1729 shall also be subject to the limitations imposed by sections 9-333o to 9-
1730 333q, inclusive, as amended by this act. No political committee formed
1731 for a single election or primary shall, with respect to such election or
1732 primary make a contribution or contributions in excess of two
1733 thousand dollars to another political committee, provided no such
1734 political committee shall make a contribution in excess of two hundred

1735 fifty dollars to an exploratory committee.

1736 [(b)] (c) A political committee established for a single primary or
1737 election shall not receive contributions from a committee of a
1738 candidate for federal or out-of-state office or from a national
1739 committee.

1740 Sec. 36. Subsection (b) of section 9-333y of the general statutes is
1741 repealed and the following is substituted in lieu thereof:

1742 (b) If any campaign treasurer or lobbyist fails to file the statements
1743 required by section 9-333j or subsection (g) of section 9-333l, as the case
1744 may be, within the time required, [he] the campaign treasurer or
1745 lobbyist shall pay a late filing fee of fifty-five dollars. In the case of a
1746 statement that is required to be filed with the Secretary of the State, the
1747 secretary shall, within ten days after the filing deadline, notify by
1748 certified mail, return receipt requested, the person required to file that,
1749 if such statement is not filed within twenty-one days after the deadline,
1750 the person is in violation of said section or subsection. If the person
1751 does not file such statement within twenty-one days after the deadline,
1752 the secretary shall notify the State Elections Enforcement Commission
1753 within twenty-eight days after the deadline. In the case of a copy of a
1754 statement that is required to be filed with the State Elections
1755 Enforcement Commission, the commission shall, not later than ten
1756 days after the filing deadline, notify by certified mail, return receipt
1757 requested, the person required to file that if such statement is not filed
1758 within twenty-one days after the deadline the person is in violation of
1759 section 9-333j. In the case of a statement that is required to be filed with
1760 a town clerk, the town clerk shall forthwith after the filing deadline
1761 notify by certified mail, return receipt requested, the person required
1762 to file that, if such statement is not filed within seven days after
1763 receiving such notice, the town clerk shall notify the State Elections
1764 Enforcement Commission that the person is in violation of said section
1765 or subsection. The penalty for any violation of said section or
1766 subsection shall be a fine of not more than one thousand dollars or

1767 imprisonment for not more than one year or both.

1768 Sec. 37. Section 9-7b of the general statutes is repealed and the
1769 following is substituted in lieu thereof:

1770 (a) The State Elections Enforcement Commission shall have the
1771 following duties and powers:

1772 (1) To make investigations on its own initiative or with respect to
1773 statements filed with the commission by the Secretary of the State or
1774 any town clerk, or upon written complaint under oath by any
1775 individual, with respect to alleged violations of any provision of the
1776 general statutes or sections 1 to 4, inclusive, 6 to 25, inclusive, and 39
1777 and 40 of this act, relating to any election or referendum, any primary
1778 held pursuant to section 9-423, 9-424, 9-425 or 9-464 or any primary
1779 held pursuant to a special act, and to hold hearings when the
1780 commission deems necessary to investigate violations of any
1781 provisions of the general statutes or sections 1 to 4, inclusive, 6 to 25,
1782 inclusive, and 39 and 40 of this act, relating to any such election,
1783 primary or referendum, and for the purpose of such hearings the
1784 commission may administer oaths, examine witnesses and receive oral
1785 and documentary evidence, and shall have the power to subpoena
1786 witnesses under procedural rules the commission shall adopt, to
1787 compel their attendance and to require the production for examination
1788 of any books and papers which the commission deems relevant to any
1789 matter under investigation or in question. In connection with its
1790 investigation of any alleged violation of any provision of chapter 145,
1791 or of any provision of section 9-359 or section 9-359a, the commission
1792 shall also have the power to subpoena any municipal clerk and to
1793 require the production for examination of any absentee ballot, inner
1794 and outer envelope from which any such ballot has been removed,
1795 depository envelope containing any such ballot or inner or outer
1796 envelope as provided in sections 9-150a and 9-150b and any other
1797 record, form or document as provided in section 9-150b, in connection
1798 with the election, primary or referendum to which the investigation

1799 relates. In case of a refusal to comply with any subpoena issued
1800 pursuant to this subsection or to testify with respect to any matter
1801 upon which that person may be lawfully interrogated, the superior
1802 court for the judicial district of Hartford, on application of the
1803 commission, may issue an order requiring such person to comply with
1804 such subpoena and to testify; failure to obey any such order of the
1805 court may be punished by the court as a contempt thereof. In any
1806 matter under investigation which concerns the operation or inspection
1807 of or outcome recorded on any voting machine, the commission may
1808 issue an order to the municipal clerk to impound such machine until
1809 the investigation is completed;

1810 (2) To levy a civil penalty not to exceed (A) two thousand dollars
1811 per offense against any person the commission finds to be in violation
1812 of any provision of chapter 145, part V of chapter 146, part I of chapter
1813 147, chapter 148, section 9-12, subsection (a) of section 9-17, section 9-
1814 19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-
1815 23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-
1816 50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-409, 9-410, 9-412, 9-436, 9-
1817 436a, 9-453e to 9-453h, inclusive, 9-453k, [or] 9-453o or sections 1 to 4,
1818 inclusive, 6 to 25, inclusive, and 39 and 40 of this act, or (B) two
1819 thousand dollars per offense or twice the amount of any improper
1820 payment or contribution, whichever is greater, against any person the
1821 commission finds to be in violation of any provision of chapter 150.
1822 The commission may levy a civil penalty against any person under
1823 subparagraph (A) or (B) of this subdivision only after giving the
1824 person an opportunity to be heard at a hearing conducted in
1825 accordance with sections 4-176e to 4-184, inclusive. In the case of
1826 failure to pay any such penalty levied pursuant to this subsection
1827 [within] not later than thirty days of written notice sent by certified or
1828 registered mail to such person, the superior court for the judicial
1829 district of Hartford, on application of the commission, may issue an
1830 order requiring such person to pay the penalty imposed and such
1831 court costs, sheriff's fees and attorney's fees incurred by the
1832 commission as the court may determine. Any civil penalties paid,

1833 collected or recovered under subparagraph (B) of this subdivision for a
1834 violation of any provision of chapter 150 applying to the office of the
1835 Treasurer shall be deposited on a pro rata basis in any trust funds, as
1836 defined in section 3-13c, affected by such violation;

1837 (3) (A) To issue an order requiring any person the commission finds
1838 to have received any contribution or payment which is prohibited by
1839 any of the provisions of chapter 150, after an opportunity to be heard
1840 at a hearing conducted in accordance with the provisions of sections 4-
1841 176e to 4-184, inclusive, to return such contribution or payment to the
1842 donor or payor, or to remit such contribution or payment to the state
1843 for deposit in the General Fund, whichever is deemed necessary to
1844 effectuate the purposes of chapter 150;

1845 (B) To issue an order when the commission finds that an intentional
1846 violation of any provision of chapter 150 has been committed, after an
1847 opportunity to be heard at a hearing conducted in accordance with
1848 sections 4-176e to 4-184, inclusive, which order may contain one or
1849 more of the following sanctions: (i) Removal of a campaign treasurer,
1850 deputy campaign treasurer or solicitor; or (ii) prohibition on serving as
1851 a campaign treasurer, deputy campaign treasurer or solicitor, for a
1852 period not to exceed four years;

1853 (C) To issue an order revoking any person's eligibility to be
1854 appointed or serve as an election, primary or referendum official or
1855 unofficial checker or in any capacity at the polls on the day of an
1856 election, primary or referendum, when the commission finds such
1857 person has intentionally violated any provision of the general statutes
1858 relating to the conduct of an election, primary or referendum, after an
1859 opportunity to be heard at a hearing conducted in accordance with
1860 sections 4-176e to 4-184, inclusive;

1861 (4) To issue an order to a candidate committee which receives
1862 moneys from the Citizens' Election Fund pursuant to sections 1 to 4,
1863 inclusive, 6 to 25, inclusive, and 39 and 40 of this act, to comply with
1864 the provisions of said sections 1 to 4, inclusive, 6 to 25, inclusive, and

1865 39 and 40, after an opportunity to be heard at a hearing conducted in
1866 accordance with the provisions of sections 4-176e to 4-184, inclusive;

1867 [(4)] (5) To inspect or audit at any reasonable time and upon
1868 reasonable notice the accounts or records of any campaign treasurer or
1869 principal campaign treasurer, as required by chapter 150 and sections 1
1870 to 4, inclusive, 6 to 25, inclusive, and 39 and 40 of this act, and to audit
1871 any such election, primary or referendum held within the state;
1872 provided, it shall not audit any caucus, as defined in subdivision (1) of
1873 section 9-372;

1874 [(5)] (6) To attempt to secure voluntary compliance, [by informal
1875 methods of conference, conciliation and persuasion,] with any
1876 provision of chapters 149 to 153, inclusive, or any other provision of
1877 the general statutes relating to any such election, primary or
1878 referendum by informal methods of conference, conciliation and
1879 persuasion;

1880 [(6)] (7) To consult with the Secretary of the State, the Chief State's
1881 Attorney or the Attorney General on any matter which the commission
1882 deems appropriate;

1883 [(7)] (8) To refer to the Chief State's Attorney evidence bearing upon
1884 violation of any provision of chapters 149 to 153, inclusive, or any
1885 other provision of the general statutes or sections 1 to 4, inclusive, 6 to
1886 25, inclusive, and 39 and 40 of this act, pertaining to or relating to any
1887 such election, primary or referendum;

1888 [(8)] (9) To refer to the Attorney General evidence for injunctive
1889 relief and any other ancillary equitable relief in the circumstances of
1890 subdivision [(7)] (8) of this [section] subsection. Nothing in this
1891 subdivision shall preclude a person who claims that [he] such person is
1892 aggrieved by a violation of any provision of chapter 152 or any other
1893 provision of the general statutes relating to referenda from pursuing
1894 injunctive and any other ancillary equitable relief directly from the

1895 Superior Court by the filing of a complaint;

1896 [(9)] (10) To refer to the Attorney General evidence pertaining to any
1897 ruling which the commission finds to be in error made by election
1898 officials in connection with any election, primary or referendum. Those
1899 remedies and procedures available to parties claiming to be aggrieved
1900 under the provisions of sections 9-323, 9-324, as amended by this act, 9-
1901 328 and 9-329a shall apply to any complaint brought by the Attorney
1902 General as a result of the provisions of this subdivision;

1903 [(10)] (11) To consult with the United States Department of Justice
1904 and the United States Attorney for Connecticut on any investigation
1905 pertaining to a violation of this section, section 9-12, subsection (a) of
1906 section 9-17 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a,
1907 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-
1908 35c, 9-40a, 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department
1909 and attorney evidence bearing upon any such violation for prosecution
1910 under the provisions of the National Voter Registration Act of 1993,
1911 P.L. 103-31, as amended from time to time;

1912 [(11)] (12) To inspect reports filed with the Secretary of the State and
1913 with town clerks pursuant to chapter 150 and refer to the Chief State's
1914 Attorney evidence bearing upon any violation of law therein if such
1915 violation was committed knowingly and wilfully;

1916 [(12)] (13) To intervene in any action brought pursuant to the
1917 provisions of sections 9-323, 9-324, as amended by this act, 9-328 and 9-
1918 329a upon application to the court in which such action is brought
1919 when in the opinion of the court it is necessary to preserve evidence of
1920 possible criminal violation of the election laws;

1921 [(13)] (14) To adopt and publish regulations pursuant to chapter 54
1922 to carry out the provisions of section 9-7a, this section, sections 1 to 4,
1923 inclusive, 6 to 25, inclusive, and 39 and 40 of this act, and chapter 150;
1924 to issue upon request and publish advisory opinions in the
1925 Connecticut Law Journal upon the requirements of chapter 150 and

1926 sections 1 to 4, inclusive, 6 to 25, inclusive, and 39 and 40 of this act,
1927 and to make recommendations to the General Assembly concerning
1928 suggested revisions of the election laws;

1929 [(14)] (15) To the extent that the Elections Enforcement Commission
1930 is involved in the investigation of alleged or suspected criminal
1931 violations of any provision of the general statutes or sections 1 to 4,
1932 inclusive, 6 to 25, inclusive, and 39 and 40 of this act, pertaining to or
1933 relating to any such election, primary or referendum and is engaged in
1934 such investigation for the purpose of presenting evidence to the Chief
1935 State's Attorney, the Elections Enforcement Commission shall be
1936 deemed a law enforcement agency for purposes of subdivision (3) of
1937 subsection (b) of section 1-210, provided nothing in this section shall be
1938 construed to exempt the Elections Enforcement Commission in any
1939 other respect from the requirements of the Freedom of Information
1940 Act, as defined in section 1-200;

1941 [(15)] (16) To enter into such contractual agreements as may be
1942 necessary for the discharge of its duties, within the limits of its
1943 appropriated funds and in accordance with established procedures;
1944 and

1945 [(16)] (17) To provide the Secretary of the State with notice and
1946 copies of all decisions rendered by the commission in contested cases,
1947 advisory opinions and declaratory judgments, at the time such
1948 decisions, judgments and opinions are made or issued.

1949 (b) In the case of a refusal to comply with an order of the
1950 commission issued pursuant to subdivision (3) of subsection (a) of this
1951 section, the superior court for the judicial district of Hartford, on
1952 application of the commission, may issue a further order to comply.
1953 Failure to obey such further order may be punished by the court as a
1954 contempt thereof.

1955 Sec. 38. Section 9-324 of the general statutes is repealed and the
1956 following is substituted in lieu thereof:

1957 Any elector or candidate who claims that [he] such elector or
1958 candidate is aggrieved by any ruling of any election official in
1959 connection with any election for Governor, Lieutenant Governor,
1960 Secretary of the State, State Treasurer, Attorney General, State
1961 Comptroller or judge of probate, held in [his] such elector or
1962 candidate's town, or that there has been a mistake in the count of the
1963 votes cast at such election for candidates for said offices or any of
1964 them, at any voting district in [his] such elector or candidate's town, or
1965 any candidate for such an office who claims that [he] such candidate is
1966 aggrieved by a violation of any provision of [sections] section 9-355, 9-
1967 357 to 9-361, inclusive, 9-364, 9-364a or 9-365 in the casting of absentee
1968 ballots at such election or any candidate for the office of Governor,
1969 Lieutenant Governor, Secretary of the State, State Treasurer, Attorney
1970 General or State Comptroller, who claims that such candidate is
1971 aggrieved by a violation of any provision of sections 1 to 4, inclusive, 6,
1972 7, 10 to 25, inclusive, and 39 and 40 of this act, may bring [his] such
1973 elector or candidate's complaint to any judge of the Superior Court, in
1974 which [he] such elector or candidate shall set out the claimed errors of
1975 such election official, the claimed errors in the count or the claimed
1976 violations of said sections. In any action brought pursuant to the
1977 provisions of this section, the complainant shall send a copy of the
1978 complaint by first-class mail, or deliver a copy of the complaint by
1979 hand, to the State Elections Enforcement Commission. If such
1980 complaint is made prior to such election, such judge shall proceed
1981 expeditiously to render judgment on the complaint and shall cause
1982 notice of the hearing to be given to the Secretary of the State and the
1983 State Elections Enforcement Commission. If such complaint is made
1984 subsequent to the election, it shall be brought [within] not later than
1985 fourteen days of the election and such judge shall forthwith order a
1986 hearing to be had upon such complaint, upon a day not more than five
1987 nor less than three days from the making of such order, and shall cause
1988 notice of not less than three nor more than five days to be given to any
1989 candidate or candidates whose election may be affected by the decision
1990 upon such hearing, to such election official, the Secretary of the State,

1991 the State Elections Enforcement Commission and to any other party or
1992 parties whom such judge deems proper parties thereto, of the time and
1993 place for the hearing upon such complaint. Such judge shall, on the
1994 day fixed for such hearing and without unnecessary delay, proceed to
1995 hear the parties. If sufficient reason is shown, [he] such judge may
1996 order any voting machines to be unlocked or any ballot boxes to be
1997 opened and a recount of the votes cast, including absentee ballots, to
1998 be made. Such judge shall thereupon, in case [he] such judge finds any
1999 error in the rulings of the election official, any mistake in the count of
2000 the votes or any violation of said sections, certify the result of [his]
2001 such judge's finding or decision to the Secretary of the State before the
2002 fifteenth day of the next succeeding December. Such judge may order a
2003 new election or a change in the existing election schedule. Such
2004 certificate of such judge of [his] such judge's finding or decision shall
2005 be final and conclusive upon all questions relating to errors in the
2006 rulings of such election officials, to the correctness of such count, and,
2007 for the purposes of this section only, such claimed violations, and shall
2008 operate to correct the returns of the moderators or presiding officers,
2009 so as to conform to such finding or decision, unless the same is
2010 appealed from as provided in section 9-325.

2011 Sec. 39. (NEW) (a) Not later than May 15, 2006, and annually
2012 thereafter, the State Elections Enforcement Commission shall issue a
2013 report on the status of the Citizens' Election Fund during the previous
2014 calendar year. Such report shall include the amount of moneys
2015 deposited in the fund, the sources of moneys received by category, the
2016 number of contributions, the number of contributors, the amount of
2017 moneys expended by category, the recipients of moneys distributed
2018 from the fund and an accounting of the costs incurred by the
2019 commission in administering the provisions of sections 1 to 4,
2020 inclusive, 6 to 25, inclusive, and 39 and 40 of this act. Not later than
2021 May 1, 2006, and annually thereafter, the Commissioner of Revenue
2022 Services shall submit to the commission the information in the
2023 possession of the commissioner which the commission needs to
2024 complete such report.

2025 (b) Not later than June 1, 2006, and annually thereafter, the joint
2026 standing committee of the General Assembly having cognizance of
2027 matters relating to elections shall submit a report to the General
2028 Assembly on the implementation of the provisions of this act. The
2029 report shall include (1) a summary of the report on the status of the
2030 fund submitted to the committee under subsection (a) of this section,
2031 and (2) any recommendations for amending the provisions of this act,
2032 including, but not limited to, extending the provisions of sections 1 to
2033 4, inclusive, 6 to 25, inclusive, and 39 and 40 of this act to other elected
2034 offices. The report submitted not later than June 1, 2007, and every two
2035 years thereafter, shall also include a review of the implementation of
2036 the provisions of this act with regard to the election held during the
2037 preceding calendar year for the offices of Governor, Lieutenant
2038 Governor, Attorney General, State Comptroller, State Treasurer and
2039 Secretary of the State, state representative or state senator, whichever
2040 is applicable.

2041 Sec. 40. (NEW) If a court of competent jurisdiction determines that
2042 any provision of this act is unconstitutional, such action shall not affect
2043 the implementation of all remaining provisions of this act.

2044 Sec. 41. This act shall take effect July 1, 2001, and sections 3 and 4
2045 shall be applicable to taxable years commencing on or after January 1,
2046 2001, and this act shall apply to convention, primary and general
2047 election campaigns for election to the offices of state senator, state
2048 representative, Governor, Lieutenant Governor, Attorney General,
2049 State Comptroller, Secretary of the State and State Treasurer in 2006,
2050 and thereafter.

Statement of Purpose:

To provide for comprehensive campaign finance reform, including, for elections in 2006, and thereafter (1) grants from a Citizens' Election Fund to finance all phases of campaigns of candidates for state-wide constitutional offices who raise qualifying contributions and agree to campaign spending limits and, (2) voluntary spending limits for the entire election campaigns of General Assembly candidates and

financing from the Citizens' Election Fund for participating General Assembly candidates to match any expenditures by nonparticipating candidates that exceed such voluntary spending limits.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]